

## Aftershock triggering by complete Coulomb stress changes

Debi Kilb<sup>1</sup>

Center for Earthquake Research and Information, University of Memphis, Memphis, Tennessee, USA

Joan Gomberg

U.S. Geological Survey, Center for Earthquake Research and Information, Memphis, Tennessee, USA

Paul Bodin

Center for Earthquake Research and Information, University of Memphis, Memphis, Tennessee, USA

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[1] We examine the correlation between seismicity rate change following the 1992, *M*7.3, Landers, California, earthquake and characteristics of the complete Coulomb failure stress (CFS) changes ( $\Delta\text{CFS}(t)$ ) that this earthquake generated. At close distances the time-varying “dynamic” portion of the stress change depends on how the rupture develops temporally and spatially and arises from radiated seismic waves and from permanent coseismic fault displacement. The permanent “static” portion ( $\Delta\text{CFS}$ ) depends only on the final coseismic displacement.  $\Delta\text{CFS}$  diminishes much more rapidly with distance than the transient, dynamic stress changes. A common interpretation of the strong correlation between  $\Delta\text{CFS}$  and aftershocks is that load changes can advance or delay failure. Stress changes may also promote failure by physically altering properties of the fault or its environs. Because it is transient,  $\Delta\text{CFS}(t)$  can alter the failure rate only by the latter means. We calculate both  $\Delta\text{CFS}$  and the maximum positive value of  $\Delta\text{CFS}(t)$  (peak  $\Delta\text{CFS}(t)$ ) using a reflectivity program. Input parameters are constrained by modeling Landers displacement seismograms. We quantify the correlation between maps of seismicity rate changes and maps of modeled  $\Delta\text{CFS}$  and peak  $\Delta\text{CFS}(t)$  and find agreement for both models. However, rupture directivity, which does not affect  $\Delta\text{CFS}$ , creates larger peak  $\Delta\text{CFS}(t)$  values northwest of the main shock. This asymmetry is also observed in seismicity rate changes but not in  $\Delta\text{CFS}$ . This result implies that dynamic stress changes are as effective as static stress changes in triggering aftershocks and may trigger earthquakes long after the waves have passed. *INDEX TERMS:* 7209 Seismology: Earthquake dynamics and mechanics; 7215 Seismology: Earthquake parameters; 7230 Seismology: Seismicity and seismotectonics; 7260 Seismology: Theory and modeling; *KEYWORDS:* Aftershock triggering, Landers earthquake 1992, Coulomb stress, reflectivity, dynamic stress changes, seismicity rate change

### 1. Introduction

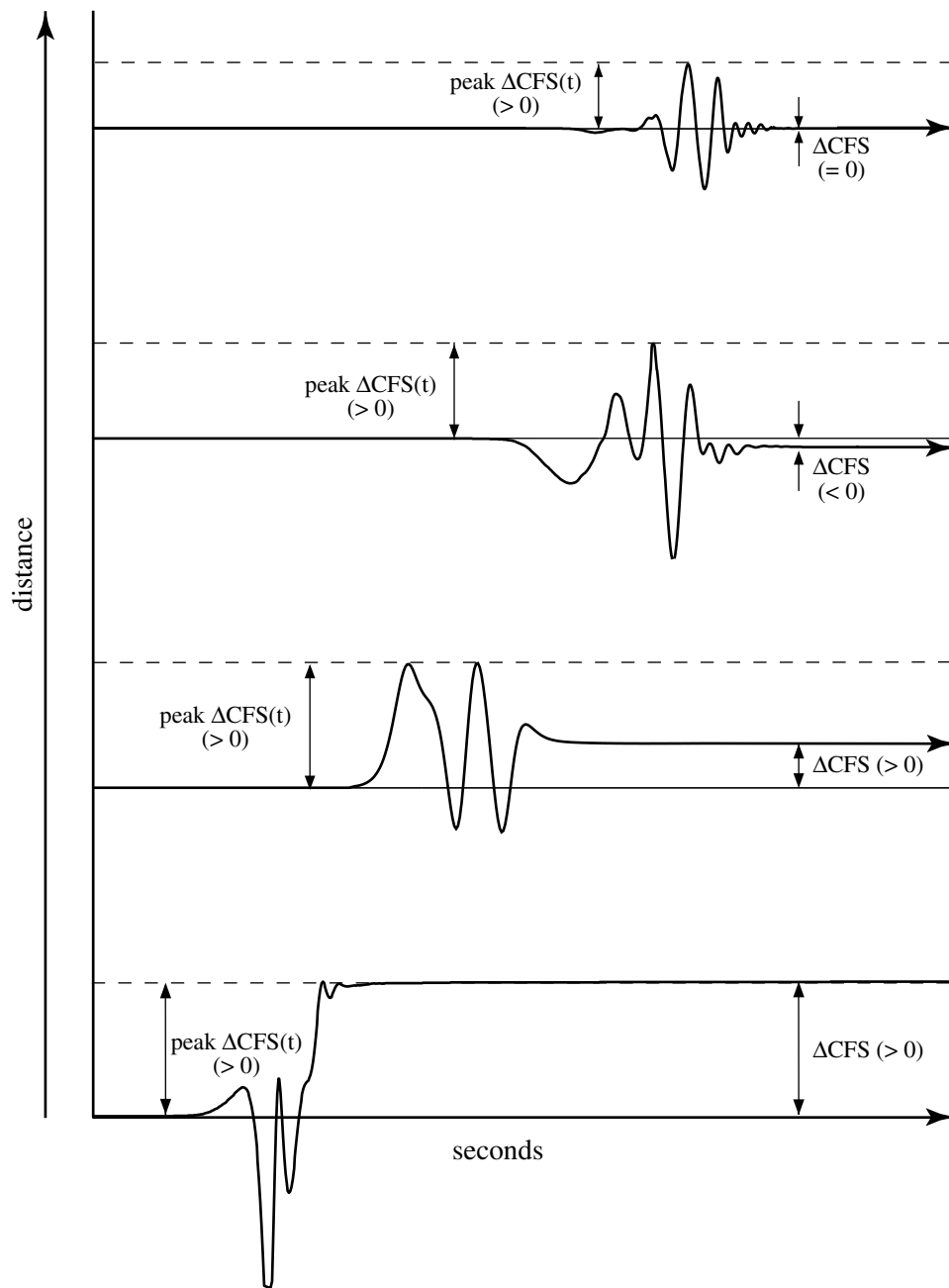
[2] Earthquakes can influence the occurrence of other earthquakes. Aftershock sequences exemplify this type of behavior and provide useful data sets because of their abundance and clear relationship to main shocks. To better understand the phenomenon of earthquake triggering, we examine the correlation between characteristics of the complete Coulomb failure stress (CFS) changes,  $\Delta\text{CFS}(t)$ , caused by a large earthquake and the associated seismicity rate changes in regions usually identified as the aftershock zone.

[3] Coulomb stress changes (defined in section 2.1) can be categorized as static or dynamic [Harris, 1998; Belardinelli *et al.*, 1999; Marone, 2000; Voisin *et al.*, 2000]. The static Coulomb stress change,  $\Delta\text{CFS}$ , is permanent and depends on the final fault offset, and it is not influenced by the rupture process. The time-varying, transient portions of  $\Delta\text{CFS}(t)$ , or dynamic stress changes, are transmitted via propagating seismic waves. In the near field the static stress change is inseparable from the radiated wave field.

Static stress changes diminish much more rapidly with distance from the source than do the deformations associated with the seismic waves (Figure 1).

[4] Numerous recent studies attempt to correlate regions of positive  $\Delta\text{CFS}$  with increased seismic activity and regions of negative  $\Delta\text{CFS}$  with zones of postearthquake quiescence [e.g., Das and Scholz, 1981; Stein and Lisowski, 1983; King *et al.*, 1994; Nostro *et al.*, 1997; Hardebeck *et al.*, 1998; Harris, 1998; Toda *et al.*, 1998; Anderson and Johnson, 1999; Stein, 1999]. These correlations are surprising because the static stress changes are typically small, often 2 orders of magnitude less than earthquake stress drops. Some studies have concluded that  $\Delta\text{CFS}$  alone cannot promote rupture [e.g., Harris and Simpson, 1992; Bennett *et al.*, 1995; Astiz *et al.*, 2000], and other studies have concluded that the relevance of such changes remains unproven [Du and Aydin, 1993; Dodge *et al.*, 1995]. At remote distances (i.e., several source dimensions away from the rupture), dynamic stress changes have been associated with triggered earthquakes because they are orders of magnitude larger than  $\Delta\text{CFS}$  [Hill *et al.*, 1993; Anderson *et al.*, 1994; Gomberg and Bodin, 1994; Hill *et al.*, 1995; Gomberg, 1996; Gomberg and Davis, 1996; Gomberg *et al.*, 1997]. It seems reasonable to expect that dynamic stress changes may also trigger nearby aftershocks because the maximum positive value of  $\Delta\text{CFS}(t)$  (peak  $\Delta\text{CFS}(t)$ ) always equals or exceeds  $\Delta\text{CFS}$  [Rybicki *et al.*, 1985; Hill *et al.*, 1993; Cotton and Coutant, 1997; Belardi-

<sup>1</sup>Now at Institute of Geophysics and Planetary Physics, University of California, San Diego, La Jolla, California, USA.



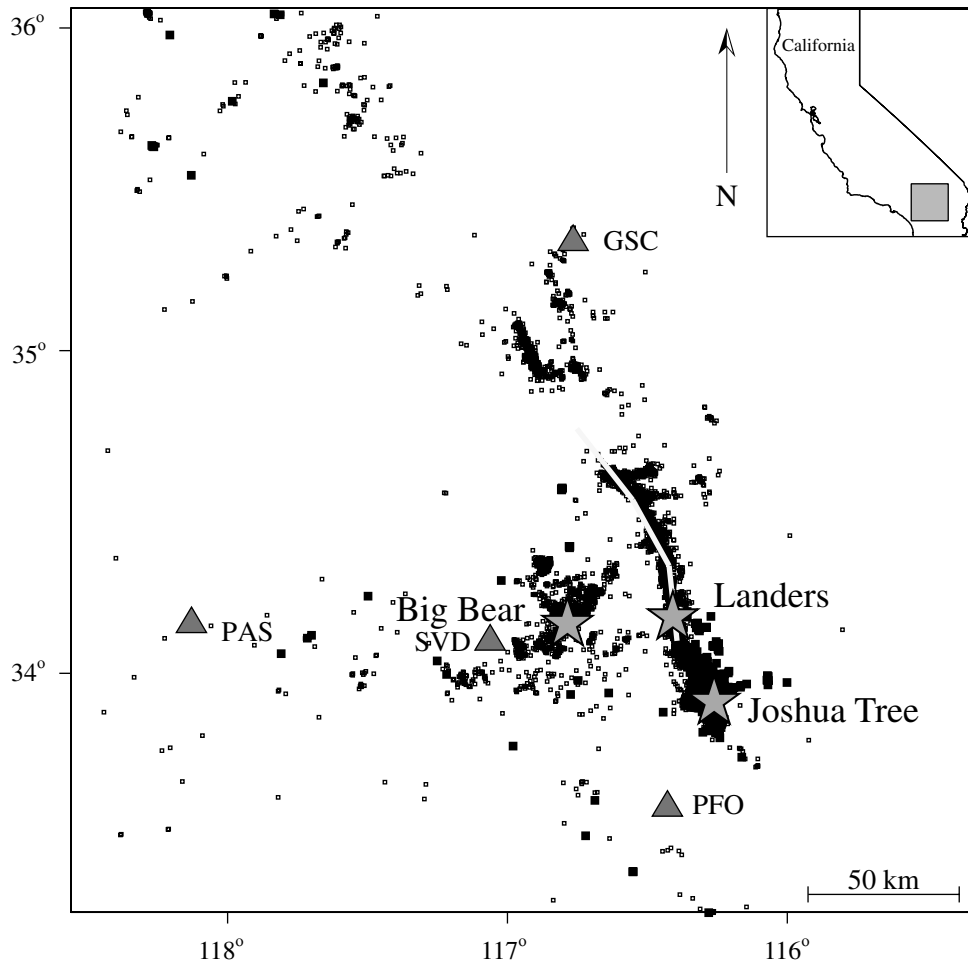
**Figure 1.** Coulomb stress-gram cartoons illustrating peak Coulomb stress change (peak  $\Delta\text{CFS}(t)$ ) and static Coulomb stress change ( $\Delta\text{CFS}$ ), and their variation with distance. In the far field, peak  $\Delta\text{CFS}(t)$  are larger than  $\Delta\text{CFS}$ , but near-field values are comparable. By definition, peak  $\Delta\text{CFS}(t)$  cannot be negative.

*elli et al.*, 1999]. At close distances the ratio (peak  $\Delta\text{CFS}(t)$ )/ $\Delta\text{CFS}$  is approximately proportional to the source-receiver distance,  $R$ , and at larger distances proportional to  $R^2$  [*Aki and Richards*, 1980]. Moreover, if dynamic stress changes trigger remote earthquakes, they must also do so at close distances simply because triggered earthquakes have no knowledge of the distance to the causative stress changes.

[5] Coulomb stress changes can influence fault rupture in at least two ways. The first is by raising or lowering the applied accumulating load (e.g., plate tectonic loading); positive  $\Delta\text{CFS}$  enhances the applied load, while negative  $\Delta\text{CFS}$  diminishes it. Dynamic Coulomb stress changes cannot permanently alter the applied load. The second way stress changes might facilitate failure is to modify properties of the fault and/or its immediate environ-

ment. Laboratory tests and field observations have shown that changes in a fault's physical properties (e.g., erosion of asperities, chemical changes in bulk composition, or the generation of fault gouge) often accompanies fault rupture [*Kostrov and Das*, 1988; *Scholz*, 1990; *Beeler and Tullis*, 1997]. These changes to the fault properties may occur rapidly and are not reversible on short timescales.

[6] We pose the hypothesis that main shock-generated positive  $\Delta\text{CFS}(t)$  moves an aftershock fault closer to failure by modifying the aftershock fault or its environs. Unlike some previous studies that investigate dynamic triggering of earthquakes coincident with the seismic waves passage [e.g., *Belardinelli et al.*, 1999; *Henry et al.*, 2000; *Voisin et al.*, 2000; *Power et al.*, 2001], we allow for delayed (days to months)



**Figure 2.** Map view of the southern California  $M_{6.1}$  Joshua tree (23 April 1992;  $33.97^\circ$ ,  $-116.32^\circ$ ),  $M_{7.3}$  Landers (28 June 1992;  $34.22^\circ$ ,  $-116.43^\circ$ ), and  $M_{6.2}$  Big Bear (28 June 1992;  $34.21^\circ$ ,  $-116.83^\circ$ ) earthquakes (stars) and their aftershocks (squares). Three linear segments depict the Landers main shock fault planes. Broadband TERRAScope stations (triangles) used in this study are also labeled. The Landers and Joshua tree earthquakes were primarily right lateral on vertical fault planes, whereas the favored focal plane for the Big Bear earthquake indicates left-lateral slip [Hauksson *et al.*, 1993; Cotton and Campillo, 1995; Jones and Hough, 1995]. Figures 5, 6, 8, and 9 cover the same 300 km by 300 km study region. For more information see Tables 2 and 3.

failure. A dynamically weakened fault may then fail as tectonic loading continues or if the failure process is far enough along that failure is self-accelerating. In these cases, failure may occur long after the dynamic stresses have ceased but earlier than if the weakening had not occurred. The latter results in an increase in seismicity rate. Another possibility is that the dynamic stresses cause formation of new fractures that subsequently fail after some delay time; in this case the seismicity rate is increased due to “extra” earthquakes.

[7] If our hypothesis is correct, then seismicity rate increases should correlate with large positive (peak) values of  $\Delta\text{CFS}(t)$ . While we acknowledge that mechanisms of dynamic strengthening exist [e.g., Richardson and Marone, 1999] that could lead to seismicity rate decreases, we only test the simplest hypothesis with the fewest degrees of freedom for this first-of-a-kind study. Because the precise mechanism of dynamic weakening is unknown, we chose the peak  $\Delta\text{CFS}(t)$  as a gross measure of the dynamic stress change because it always occurs in the dynamic interval. Because the dynamic stress changes oscillate about zero, our results would be basically the same if we chose some other measure of the dynamic stress change (e.g., peak-to-peak amplitude or the most negative peak). We suggest dynamic

stress changes promote failure (rather than inhibit it), but our analysis cannot distinguish among weakening mechanisms. Future work may examine other aspects of the dynamic stress changes (e.g., duration of large oscillatory stress changes, dependence on frequency content) and their possible correlation with seismicity rate change.

[8] We test our hypothesis using data from and analysis of the 1992,  $M_{7.3}$ , Landers earthquake in southern California. This earthquake was well recorded and has a relatively simple and well-constrained source mechanism, and appropriate seismicity data are readily available. Importantly, the Landers earthquake ruptured essentially unilaterally with strong directivity clearly affecting the radiated wave field. We thus expect the patterns of peak  $\Delta\text{CFS}(t)$  and  $\Delta\text{CFS}$  to differ, producing similar differences in their correlation with seismicity rate change patterns. Our analysis begins by constraining estimates of  $\Delta\text{CFS}(t)$ , from which we derive peak  $\Delta\text{CFS}(t)$  and  $\Delta\text{CFS}$ . From catalog data we estimate seismicity rate changes associated with the Landers earthquake. We then compare mapped seismicity rate changes with mapped  $\Delta\text{CFS}$  and peak  $\Delta\text{CFS}(t)$  and assume that correlation implies causality. This assumption is not always valid, but it provides a reasonable starting point for testing our hypothesis. We evaluate this correla-

**Table 1.** Velocity Model Used in This Study<sup>a</sup>

Layer Top, km	$V_p$ , km/s	$V_s$ , km/s	Density g/cm <sup>3</sup>	$Q_p$	$Q_s$
0.0	3.80	1.98	2.30	100.	30.
1.5	5.50	3.15	2.60	600.	300.
4.0	6.20	3.52	2.70	600	300.
26.0	6.80	3.83	2.87	600	300.
32.0	8.00	4.64	3.50	600	300.

<sup>a</sup>Velocity model is from *Wald and Heaton* [1994].

tion quantitatively as well as qualitatively. Our results show that peak  $\Delta\text{CFS}(t)$  is quantitatively as viable a triggering agent as  $\Delta\text{CFS}$ .

## 2. $\Delta\text{CFS}(t)$

### 2.1. Coulomb Failure Criteria

[9] On a fault of a given orientation, time-dependent Coulomb failure stress change is defined as

$$\Delta\text{CFS}(t) = \Delta\tau(t) - \mu[\Delta\sigma_n(t) - \Delta P(t)], \quad (1)$$

where  $\tau$  is shear stress (positive in the rake direction),  $\sigma_n$  is the stress normal to the fault (positive in compression),  $P$  is the pore pressure (positive in compression), and  $\mu$  is the coefficient of friction [*Harris*, 1998]. We assume an isotropic homogeneous poroelastic material and approximate the pore pressure change by

$$\Delta P(t) = S \frac{1}{3} [\Delta\sigma_{11}(t) + \Delta\sigma_{22}(t) + \Delta\sigma_{33}(t)], \quad (2)$$

where  $S$  is Skempton's coefficient. We assume  $S = 0.85$  and  $\mu = 0.6$  [*Byerlee*, 1978; *Harris*, 1998]. Variations in these parameters influence some regions more than others, but overall our results are insensitive to reasonable variations in them ( $0.5 \leq S \leq 1.0$  and  $0.2 \leq \mu \leq 0.8$ ). Equation (1) also applies to the static case when  $\Delta\text{CFS}(t)$  becomes constant (i.e., for large  $t$ ,  $\Delta\text{CFS}(t) = \Delta\text{CFS}$ ). The individual components  $\Delta\tau(t)$ ,  $\Delta\sigma_n(t)$ , and  $\Delta P(t)$  do not necessarily reach their maximum at the same time as  $\Delta\text{CFS}(t)$ . Thus conclusions based on  $\Delta\text{CFS}$  or peak  $\Delta\text{CFS}(t)$  do not necessarily hold true for  $\Delta\tau(t)$ ,  $\Delta\sigma_n(t)$ , and  $\Delta P(t)$  [*Parsons et al.*, 1999].

[10] A commonly employed failure criterion invokes an absolute level of Coulomb stress that when exceeded causes instantaneous rupture. This is consistent with the simple interpretation that a positive or negative value of  $\Delta\text{CFS}(t)$  brings a fault closer to or farther from failure, respectively. However, this simple interpretation does not explain the delay time observed between main shocks and their aftershocks. Nor does it explain how the time to failure

could be modified by transient dynamic stress changes. Thus instead of an absolute stress threshold we consider the important criterion to be a failure stress change threshold. Stress changes (see equation (1)) that exceed the threshold may alter fault properties sufficiently either to advance the time to failure of "inevitable" earthquakes or to induce the formation of new instabilities [*Marone*, 2000]. These processes need not result in a failure time advancement that is simply proportional to the stress change magnitude. If this proportionality existed, all aftershocks would occur at roughly the same time, a scenario that is clearly not true as evident in the extended duration of aftershock sequences.

### 2.2. Observational Constraints on $\Delta\text{CFS}(t)$

[11] We constrain input parameters (e.g., earth structure, fault locations, rupture velocity, slip model, source function, and duration) required for our calculations of  $\Delta\text{CFS}(t)$  by forward modeling recorded displacement waveforms (doubly integrated from acceleration) from the 1992 Landers earthquake. We assume that if our model replicates surface displacements correctly, it also provides accurate estimates of the deformation field at depth. This provides observational constraints on stress change calculations that are not often utilized in similar studies. We use the same algorithms and input parameters to model displacement seismograms and  $\Delta\text{CFS}(t)$ , employing a discrete wave number reflectivity method in an elastic plane-layered medium [*Cotton and Coutant*, 1997; *Belardinelli et al.*, 1999].  $\Delta\text{CFS}$  estimates are confirmed using a numerical three-dimensional dislocation program [*Gomberg and Ellis*, 1994].

[12] We model twice-integrated three-component broadband accelerograms of the Landers' main shock recorded at TERRASCOPE stations (Figure 2) [*Kanamori et al.*, 1991]. We band-pass filter these acceleration data from 0.077 to 0.5 Hz using a second-order Butterworth filter and then integrate them twice to obtain displacements. This passband eliminates high frequencies that require a more detailed velocity structure to model and eliminates low-frequency noise that integration would amplify [*Cohee and Beroza*, 1994; *Wald and Heaton*, 1994; *Cotton and Campillo*, 1995]. Numerous researchers have modeled these waveforms [*Campillo and Archuleta*, 1993; *Cohee and Beroza*, 1994; *Dreger*, 1994; *Wald and Heaton*, 1994; *Cotton and Campillo*, 1995; *Gomberg*, 1996], and although the final parameters that we use differ from theirs in detail, because of the nonlinearity of the problem and sensitivity to different computational algorithms, our parameters are within the range of published values. We qualitatively judge the goodness of fit between observed and synthetic waveforms, giving priority to larger-amplitude waveforms, requiring the polarity of first motions to match, and emphasizing agreement in the initial several cycles. The synthetics must correctly reproduce the relative maximum amplitudes of the three components at each station, and the durations and frequency contents must be similar. Consequently, we give preference to modeling the horizontal data, which dominate this passband. Finally, the mod-

**Table 2.** Fault Model Parameters for the 1992  $M7.3$  Landers, the 1992  $M6.1$  Joshua Tree, and the Big Bear Earthquakes<sup>a</sup>

	Johnson Valley	Homestead Valley	Camprock	Joshua Tree	Big Bear
Strike	354°	331°	332°	350°	55°
Dip	90°	90°	90°	90°	90°
Rake	180°	180°	180°	180°	0°
Number of subfaults along strike	4	4	4	5	5
Number of subfaults along dip	3	3	3	4	4
Length, km	25	25	30	12	15
Width, km	17	17	17	15	13
Average slip, m	1.03	1.78	0.755	0.5	0.83
Percent of total Landers' moment	39	35	26	—	—

<sup>a</sup> Earthquake data are from *Hauksson et al.* [1993], *Cotton and Campillo* [1995], and *Jones and Hough* [1995] for Landers, *Hauksson et al.* [1993] for Joshua Tree, and *Jones and Hough* [1995] for Big Bear.

**Table 3.** Slip Model of the Landers Main Shock Used for Synthetic Calculations<sup>a</sup>

Camprock Fault			
Northwest		Southeast	
Camp 1 (60–52.5 km)	Camp 2 (52.5–45 km)	Camp 3 (45–37.5 km)	Camp 4 (37.5–30 km)
–	6.9	4.5	3.0
6.0	–	6.0	–
–	6.0	6.3	7.5
Homestead Valley Fault			
Northwest		Southeast	
Home 1 (30–25 km)	Home 2 (25–20 km)	Home 3 (20–15 km)	Home 4 (15–10 km)
–	7.35	6.99	7.95
–	9.6	16.5	–
7.32	8.625	12.0	3.6
Johnson Valley Fault			
Northwest		Southeast	
JV 1 (10–5 km)	JV 2 (5–0 km)	JV 3 (0 to –5 km)	JV 4 (–5 to –10 km)
5.1	–	–	–
6.0	6.0	–	–
9.6	12.6	–	1.47

<sup>a</sup>Values indicate right-lateral displacement motion in meters. Numbers in parentheses refer to along-fault distance from the main shock hypocenter. See Table 2 for additional information.

eled seismic moment and slip distribution must agree with published results.

[13] We use the velocity model of *Wald and Heaton* [1994] (Table 1) because it contains a thin low-velocity layer at the surface, which is needed to reproduce the surface waves at station GSC. To model the rupture, we distribute 23-point sources over three nonoverlapping planar faults representing the Johnson Valley, Homestead Valley, and Camprock faults (Tables 2 and 3). This number of point sources is substantially fewer than used in other models (e.g., *Cohee and Beroza* [1994], *Belardinelli et al.* [1999], and *Cotton and Campillo* [1995] used over 200, 500, and 3000 sources, respectively). This is possible because we use a simple directivity filter based on a Haskell model of unilateral rupture [*Aki and Richards*, 1980; *Gomberg*, 1996], and we stagger the rupture time of each point source. We do not model the foreshock that occurred 3 s before the main energy release [*Abercrombie and Mori*, 1994]. Our source function is a modified step function with finite risetime. Each point source is assigned an onset time and a risetime (average  $2.54 \pm 1.78$  s). Onset times generate rupture velocities ranging between 2.5 and 3.0 km/s, and slip must be right-lateral. The seismic moment of our model,  $1.1 \times 10^{20}$  N m, is high, yet within the published bounds (see summary by *Cohee and Beroza* [1994]). Our final synthetic waveforms are shown in Figure 3.

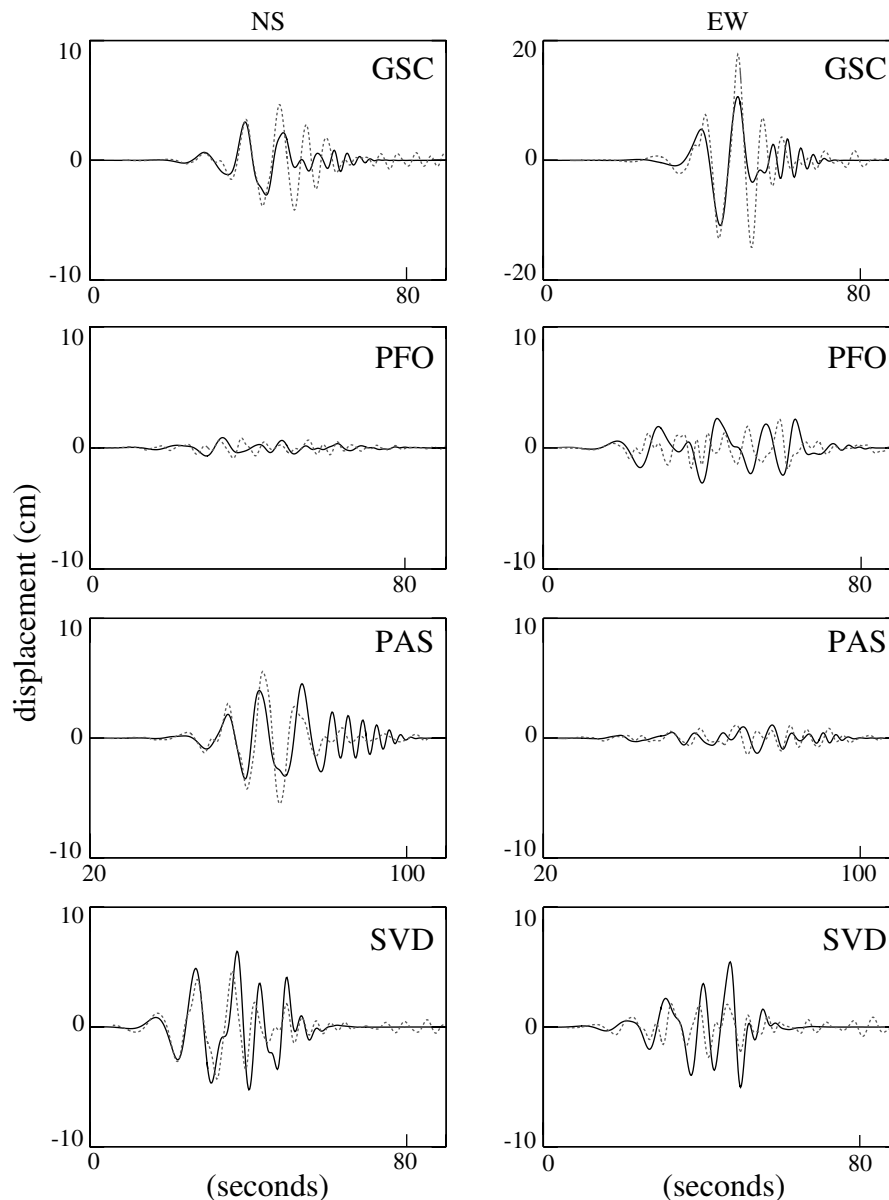
[14] The main shock-induced  $\Delta\text{CFS}(t)$  and  $\Delta\text{CFS}$  calculations require selection of a fault plane and slip orientation, on which stresses are resolved, at observation points throughout our study region. To help select appropriate values, we use histograms of focal mechanism parameters. Of the 6599 cataloged Landers aftershocks, 43% have focal mechanism parameters derived from first motions (J. G. Armbruster and L. Seeber, personal communication, 1998)(Southern California Seismic Network (SCSN)); 445 of these belong to our declustered data set (see section 3). These focal mechanism parameters (both the entire and declustered sets) show no systematic dependence on location. Histogram peaks indicate primarily strike-slip motion on steeply dipping faults striking at  $\sim 330^\circ$  (Figure 4). This mechanism is

consistent with other larger-magnitude events in the region [*Jones and Helmberger*, 1998], and the strike is consistent with mapped faults in the area [*Dokka and Travis*, 1990; *Unruh et al.*, 1996]. We address the influence of variations in these parameters in section 4.1.

### 2.3. General Characteristics of $\Delta\text{CFS}(t)$

[15] We compute  $\Delta\text{CFS}$  and peak  $\Delta\text{CFS}(t)$  maps at the surface as well as depths of 2, 4.5, and 11 km, consistent with the 1992 Landers' aftershock depth distribution [*Hauksson et al.*, 1993; *Jones and Helmberger*, 1998]. These maps are derived from calculations of  $\Delta\text{CFS}(t)$  at 271 points on right-lateral, vertical faults striking at  $330^\circ$ . We distribute these 271 points in concentric annuli about the main shock fault planes, such that more distant annuli have a sparser distribution of points. We measure  $\Delta\text{CFS}$  and peak  $\Delta\text{CFS}(t)$  and linearly interpolate these results into square cells (6 km on a side) distributed uniformly throughout our study area. The mapped patterns of both  $\Delta\text{CFS}$  and peak  $\Delta\text{CFS}(t)$  do not change significantly with depth, although the absolute amplitudes can be influenced by material properties. For example,  $\Delta\text{CFS}$  is generally smaller within the low-velocity surface layer than in a higher velocity layer at 4.5 km depth. This is not a consequence of the stress free surface boundary conditions because a simpler velocity model, without the low-velocity zone, yields a continual decrease in modeled  $\Delta\text{CFS}$  with depth. Similar results hold for peak  $\Delta\text{CFS}(t)$ .

[16] No single stress change component ( $\Delta\tau(t)$ ,  $\Delta\sigma_n(t)$ , or  $\Delta P(t)$ , and the static equivalents) consistently dominates  $\Delta\text{CFS}(t)$  or  $\Delta\text{CFS}$ . Off-fault lobes of large peak  $\Delta\text{CFS}(t)$  in the southeast are primarily due to contributions from  $\Delta\tau(t)$  and  $\Delta P(t)$ , whereas  $\Delta\tau(t)$  and  $\Delta\sigma_n(t)$  dominate large amplitude lobes in the northwest (Figure 5a). The same is true for  $\Delta\text{CFS}$  (Figure 5b). Qualitatively, the individual components correlate with the seismicity rate change but not as well as peak  $\Delta\text{CFS}(t)$  does. For example, maps of peak  $\Delta\tau(t)$  and peak  $\Delta\sigma_n(t)$  exhibit asymmetries similar to peak  $\Delta\text{CFS}(t)$ , although rotated more clockwise. More rigorous tests, beyond the scope of this study, are required to determine if the components correlate with seismicity rate change



**Figure 3.** A comparison of the synthetic displacement seismograms (solid) and data (dashed) recorded at broadband TERRAScope stations (station codes are listed).

as well as or better than  $\Delta\text{CFS}$  (e.g., as from *Parsons et al.* [1999], *Astiz et al.* [2000], and *Parsons and Dreger* [2000]) or peak  $\Delta\text{CFS}(t)$ .

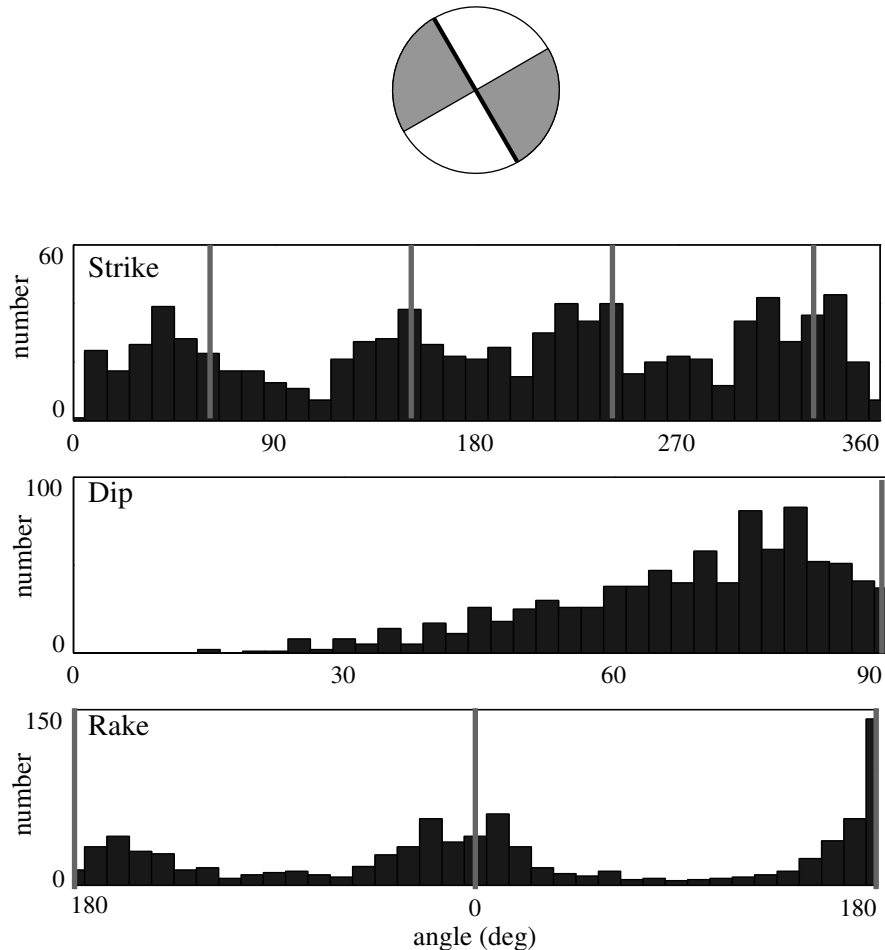
### 3. Estimating Seismicity Rate Change

[17] We use events from the SCSN catalog to constrain the seismicity rate change. A background seismicity rate was established using data (3498 events) from 1 July 1984 through 22 April 1992, since this time period was free of  $M > 6$  events, significant network changes, and recording gaps. Data after the Landers main shock (6599 events) through 31 March 1993 are used to establish a post-main shock seismicity rate. To assure a uniform level of catalog completeness, our data set includes only  $M \geq 2.2$  events [*Wald et al.*, 1998]. The temporal constancy of this limit was determined by selecting, for each year of data, the minimum magnitude above which the  $b$  value was constant [*Toda et al.*,

1998]. Our data set includes only the highest quality events (“A” quality as defined in the SCSN catalog).

[18] The Landers aftershock sequence overlaps with aftershocks of the  $M6.1$  Joshua Tree and  $M6.2$  Big Bear earthquakes. Contributions from the Joshua Tree sequence to seismicity rates after the Landers main shock are negligible. In the 67 days between the Joshua Tree and Landers main shocks the seismicity fell from  $\sim 300$  to  $\sim 3$   $\text{d}^{-1}$ , compared to an initial post-Landers’ rate of  $\sim 360$   $\text{d}^{-1}$ . Both aftershock sequences decay according to Omori’s law with typical decay constants of  $0.72 \leq P \leq 1.2$  [*Hauksson et al.*, 1993]. We address the potential impact of the Big Bear earthquake on our results in section 4.1.

[19] We assume that all earthquakes are independent except for the dependence of the aftershocks on the Landers main shock. In an attempt to eliminate events that do not satisfy this assumption, we decluster our data set using a single-link cluster (SLC) analysis [*Frohlich and Davis*, 1990; *Davis and Frohlich*, 1991]. On the



**Figure 4.** Histograms of both nodal planes from 445 available focal mechanisms in our declustered data set. Similar results are obtained using available mechanisms from the nonclustered data set. Also shown is the focal mechanism corresponding to the approximate histogram peak values, where the bold line emphasizes the nodal plane striking at  $330^\circ$  used in our  $\Delta CFS(t)$  calculations. Because variations in dip do not significantly affect our results, for simplicity, we assume a vertical fault plane.

basis of a defined tolerance within which two events are deemed related, the  $SLC(d, T)$  metric identifies linked pairs of events. Clusters are formed by joining linked pairs that are also within this tolerance. For any pair of events the metric

$$SLC(d, T) = \sqrt{d^2 + C^2 T^2} \quad (3)$$

is defined where  $d$  is the hypocentral separation between events,  $T$  is the time difference in days, and  $C$  is a constant that relates time to distance, usually set equal to 1. We use a tolerance value of 5, which corresponds to two “connected” earthquakes at the same location 5 days apart, or two simultaneous earthquakes within 5 km. The results are essentially identical when the tolerance is varied by  $\pm 2$ . The average event magnitude in our data set is  $\sim M2.6$ , which implies an average fault length  $\ll 5$  km. Thus this declustering criterion is conservative (40% of the events are removed) and should insure that our independence assumption is appropriate. Visually, the declustered map of seismicity is similar to the clustered catalog (compare Figures 2 and 6a).

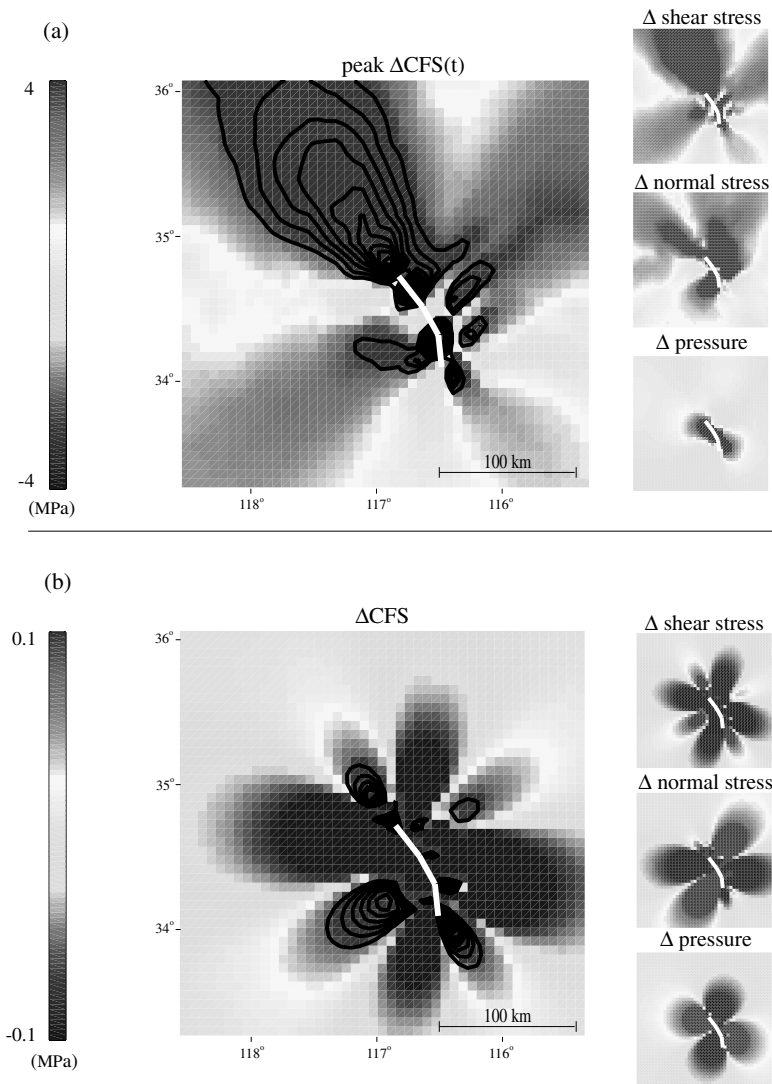
[20] Estimation of seismicity rate changes requires subjective judgment about what changes are significant. To assist in this judgment we compute a  $\beta$  statistic in each cell of a grid covering our study area [Matthews and Reasenberg, 1988; Reasenberg and Simpson, 1992]. The  $\beta$  statistic measures the difference between

the observed number of post-main shock earthquakes in a cell and the number expected based on an average seismicity rate determined using the pre-main shock events. This value is scaled by the seismicity rate’s standard deviation so that small rate changes where the rate is relatively stationary may be ascribed as much significance as large rate changes where the rate fluctuates more. The  $\beta$  statistic is defined by

$$\beta(n_a, n_b, t_a, t_b) = \frac{n_a - E(n_a)}{\text{Var}(n_a)} = \frac{n_a - (n_b t_a / t_b)}{n_b t_a / (t_a + t_b)}, \quad (4)$$

where  $n$  is the number of earthquakes,  $t$  is duration, and the subscripts  $a$  and  $b$  indicate the periods after and before the main shock, respectively.  $E(n_a)$  is the expected value of  $n_a$ , and  $\text{Var}(n_a)$ , the variance of  $n_a$ , both values are based on the background period of seismicity [Matthews and Reasenberg, 1988; Reasenberg and Simpson, 1992]. When no earthquakes occur in the before period,  $n_b = 0$  and (4) becomes singular. However, the observation of  $n_b = 0$  does not imply that the rate is zero but that the rate is  $< 1/t_b$ . Noting that when  $n_b = 0$ ,  $0.0 \leq E(n_b) < 0.5$ , so that the unbiased estimate of  $E(n_b) = 0.25$  and the best estimate of the rate is not zero but  $0.25/t_b$ . Thus when no earthquakes occur in the before period, we assume that  $n_b = 0.25$ .

[21] We regard a rate change as significant when  $|\beta| \geq 1$  (Figure 6b) which, if seismicity represented a stationary Poisson



**Figure 5.** (a) Map at 4.5 km depth of peak  $\Delta\text{CFS}(t)$  with contours indicating levels of peak  $\Delta\text{CFS}(t) \geq 4$  MPa with equal intervals of 1.5 MPa. Peak  $\Delta\text{CFS}(t)$  values are, by definition, always positive. Also shown are maps of the individual components that compose the final peak  $\Delta\text{CFS}(t)$  map. (b) As in Figure 5a but for a map of  $\Delta\text{CFS}$  with contours indicating levels of  $\Delta\text{CFS}(t) \geq 0.1$  MPa with equal intervals of 0.05 MPa. See color version of this figure at back of this issue.

process, would correspond to a rate change equal to one standard deviation from the pre-main shock mean. While this corresponds to a significance level of only 65%, this choice compensates for the gridding, as discussed below, which makes the  $\beta$  estimates conservative.

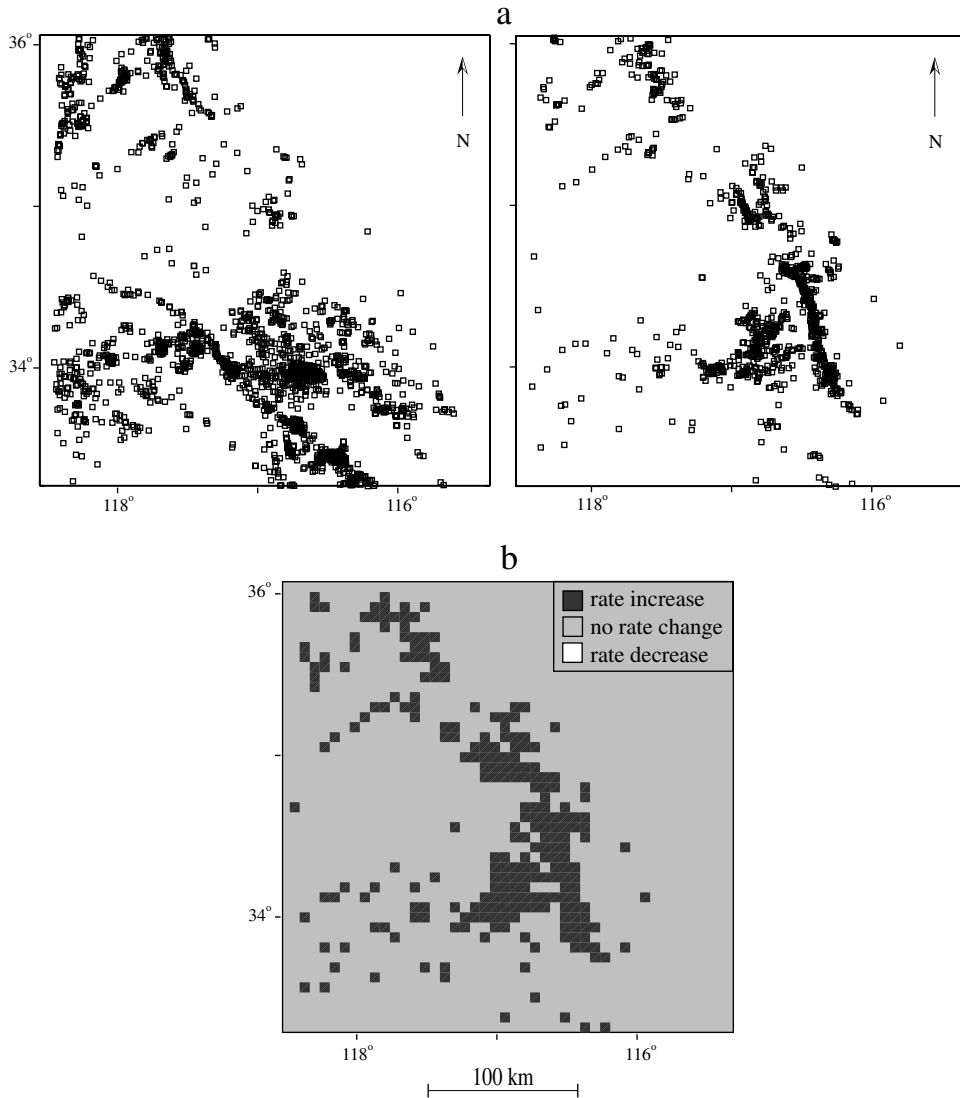
#### 4. Correlation Analyses

[22] We apply statistical measures of correlation between stress change and seismicity rate change maps using three methods: a ternary map comparison, a two-dimensional cross-correlation statistic, and a null hypothesis test using an eightfold table [Sachs, 1984]. The first two methods constrain the triggering amplitudes or “thresholds” at or above which we expect a related seismicity rate change. The cross-correlation statistic takes into account the mapped stress gradients whereas the simpler ternary-map does not. Our final test, using an eightfold table, more generally examines the validity of introducing yet another earthquake-triggering model.

[23] Our first quantitative test compares rate change and stress change ternary maps, which are composed of grid cells with values  $-1, 0, 1$ . We create a ternary seismicity rate change map by assigning 0 to grid cells with  $|\beta| < 1.0$ , and 1 or  $-1$  to grid cells with  $\beta \geq 1.0$  and  $\beta \leq -1.0$ , respectively (see Figure 6b). We apply a similar procedure to the  $\Delta\text{CFS}$  and peak  $\Delta\text{CFS}(t)$  maps calculated at a depth of 4.5 km, assigning a 0 where the stress change is less than a chosen stress change threshold, a 1 if it is above the threshold, or a  $-1$  (applicable for only  $\Delta\text{CFS}$ ) if it is less than the negative of the threshold. To compare rate and stress change maps, we count the number of grid cells that have the same value (1 and 1,  $-1$  and  $-1$ , 0 and 0). Also, we allow for the possibility that a rate decrease is not detected because our pre-Landers study period is too short, by assuming agreement when  $\Delta\text{CFS}$  is negative and the rate change in a cell is zero due to lack of seismicity.

[24] To assess the significance of our results, we compare our correlation count with that expected for a random process. The latter equals the mean of a distribution of counts obtained for 500 repeated tests using a bootstrap resampling of our seismicity rate



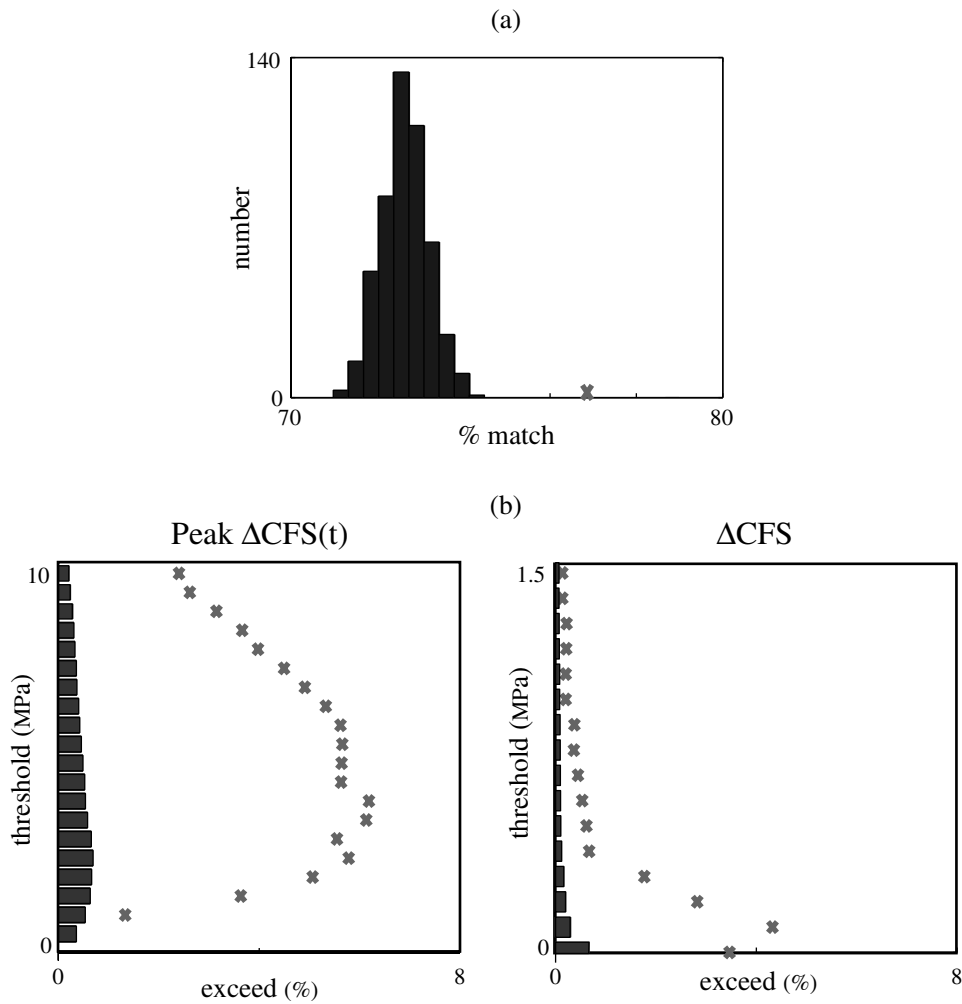


**Figure 6.** (a) Maps (left) of declustered background seismicity from 1 July 1984 to 23 April 1984 and (right) of declustered post Landers seismicity from 28 June 1992 to 31 March 1993. In the preseismicity map, lineation of events in the southwest quadrant of the study area outlines the San Andreas fault. (b) Ternary map of the seismicity rate change derived using the  $\beta$  statistic. Only  $\beta$  values that exceed one standard deviation (i.e.,  $|\beta| \geq 1$ ) are considered significant. The asymmetry observed in this rate change map mimics that computed with an independent analysis by *Wyss and Wiemer* [2000].

change maps. We deem the actual count significant if it lies outside the random distribution's mean by many standard deviations [*Sachs*, 1984; *Press et al.*, 1986] (Figure 7a). We assume a significant count implies a causal relationship between the seismicity rate change and stress changes ( $\Delta\text{CFS}$  or peak  $\Delta\text{CFS}(t)$ ) at or greater than the chosen threshold value. To determine the optimal triggering threshold, we repeat the ternary map randomization comparisons using various threshold values; the optimum threshold for triggering aftershocks yields the largest difference between the true value and random mean. This analysis shows that significant correlations exist for triggering thresholds of 0.001–0.5 MPa for  $\Delta\text{CFS}$  and  $>0.5$  MPa for peak  $\Delta\text{CFS}(t)$  (Figure 7b). The optimal triggering thresholds are 0.1 MPa for  $\Delta\text{CFS}$  and 4.0 MPa for peak  $\Delta\text{CFS}(t)$ .

[25] We also further assess the spatial similarity of stress and seismicity rate changes using a two-dimensional cross-correlation coefficient, which allows us to investigate the correlation of gradient changes. We compute the cross correlation after imposing

a specific limit on the maximum stress change value in any cell. In this way, small maxima test for correlation with small gradients, and larger maxima test for correlation with larger gradients. As in the ternary map analysis, we search for ranges and optimum triggering thresholds using the same bootstrap procedure. Application of this approach shows that the seismicity rate change correlates significantly with peak  $\Delta\text{CFS}(t)$  for all threshold values above 0.1 MPa and with  $\Delta\text{CFS}$  for thresholds of 0.0005–0.5 MPa. The  $\Delta\text{CFS}$  lower bound, 0.0005 MPa, is surprisingly small. This results from the stability of the four-lobe  $\Delta\text{CFS}$  pattern that simply expands as the stress change threshold decreases and which mimics, to some degree, the seismicity rate change pattern. The potential for a positive correlation also may be enhanced by the fact that we do not consider the magnitude of the seismicity rate change. This result may lend support to the proposal of *Ziv and Rubin* [2000] that the stress triggering threshold has no lower bound, but more work is required to evaluate its significance. In agreement with the ternary map comparison results the optimum



**Figure 7.** (a) Example histogram of 500 randomization correlation results. Correlations using ternary maps of randomly reordered rate change maps and peak  $\Delta CFS(t)$  are computed for a threshold value of 4 MPa. The true value (cross) lies outside of the random distribution (bars) by 6 standard deviations. These histograms are computed for a range of threshold values. (b) Similar results for different threshold levels for (left) peak  $\Delta CFS(t)$  and (right)  $\Delta CFS$ . The  $x$  axis measures the percentage above the random mean, the bars indicate the standard deviation, and the crosses are the true correlation values. More threshold values are investigated for the peak  $\Delta CFS(t)$  study than the  $\Delta CFS$  study (note different  $y$  axis scales) due to their larger range of attainable values.

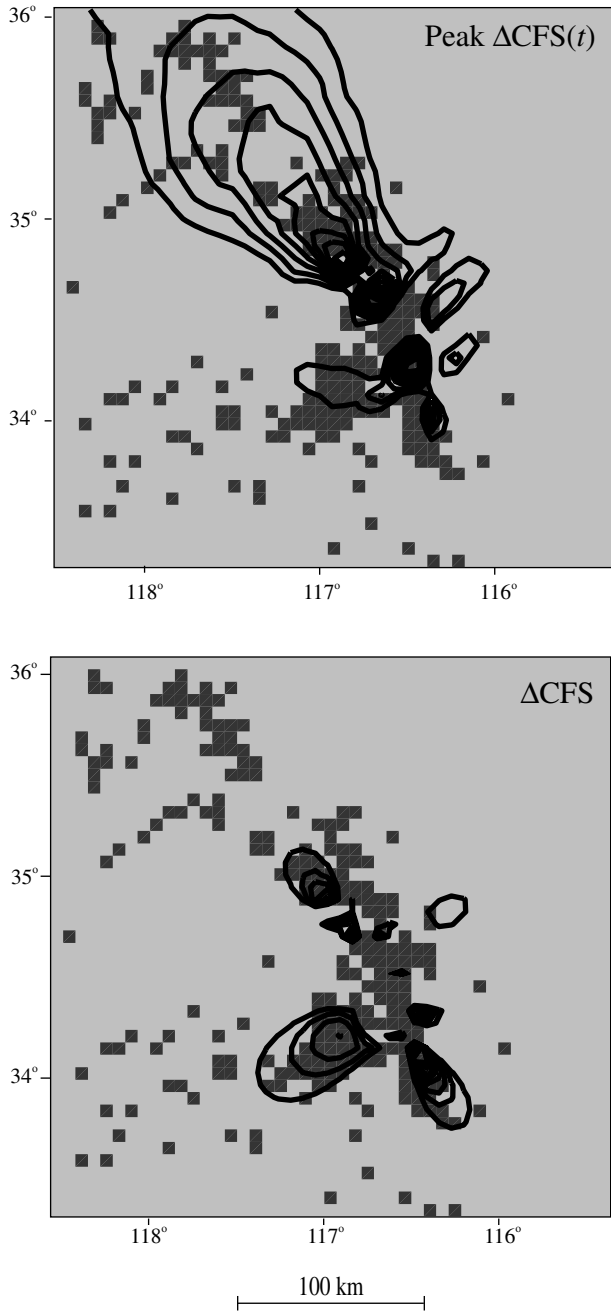
triggering thresholds are 0.1 and 4.0 MPa for  $\Delta CFS$  and peak  $\Delta CFS(t)$ , respectively.

[26] Both the ternary map and cross-correlation analysis results suggest that stress changes correlate with seismicity rate changes by no more than 10% better than random. These results are conservative estimates for several reasons. First, our relatively short observation period makes it difficult to accurately capture seismicity rate changes, especially a decrease, for cells where the preevent seismicity rate is very low. More importantly, the rate change map depends highly on the grid cell size because we estimate rate change in an area based on point data (i.e., assuming each earthquake occurs at a point). We chose a 6 km by 6 km cell size to capture accurately the fluctuation in rate and stress changes close to the main shock fault, while also being consistent with our declustering parameters. The relatively smooth variation of  $\Delta CFS$  and peak  $\Delta CFS(t)$  makes their maps less sensitive to cell size variations. While it is obvious visually that the correlation could be improved by increasing the cell size or by applying a smoothing operator, it is not clear how to do this objectively. Nonetheless, because our results are many standard deviations outside of the random distribution, we have demonstrated that both  $\Delta CFS$  and

peak  $\Delta CFS(t)$  correlate with seismicity rate change at a statistically significant level.

[27] It is clear visually that a smoother seismicity rate change map would improve the correlation for the peak  $\Delta CFS(t)$  map more than for the  $\Delta CFS$  map, particularly in the northwest quadrant of our study area. Figure 8 superimposes contours of  $\Delta CFS$  and peak  $\Delta CFS(t)$  at or above their optimal threshold on seismicity rate change maps. The most striking correlation is the asymmetry apparent in both the seismicity rate change and peak  $\Delta CFS(t)$  maps. The mapped peak  $\Delta CFS(t)$  asymmetry arises from directed northward rupture propagation that has no effect on  $\Delta CFS$ , which consequently is more symmetric. Unfortunately, the better correlation for peak  $\Delta CFS(t)$  than for  $\Delta CFS$  cannot be used to conclude that dynamic stress changes are more effective triggers than static stress changes. The better correlation may simply be a consequence of the greater contribution of dynamic stress changes to the total stress change as the distance increases.

[28] Finally, we examine the null hypothesis that the peak  $\Delta CFS(t)$  model does not correlate with seismicity rate change better than the  $\Delta CFS$  model. To do this, our ternary map comparison results are used to define an eightfold table, and we



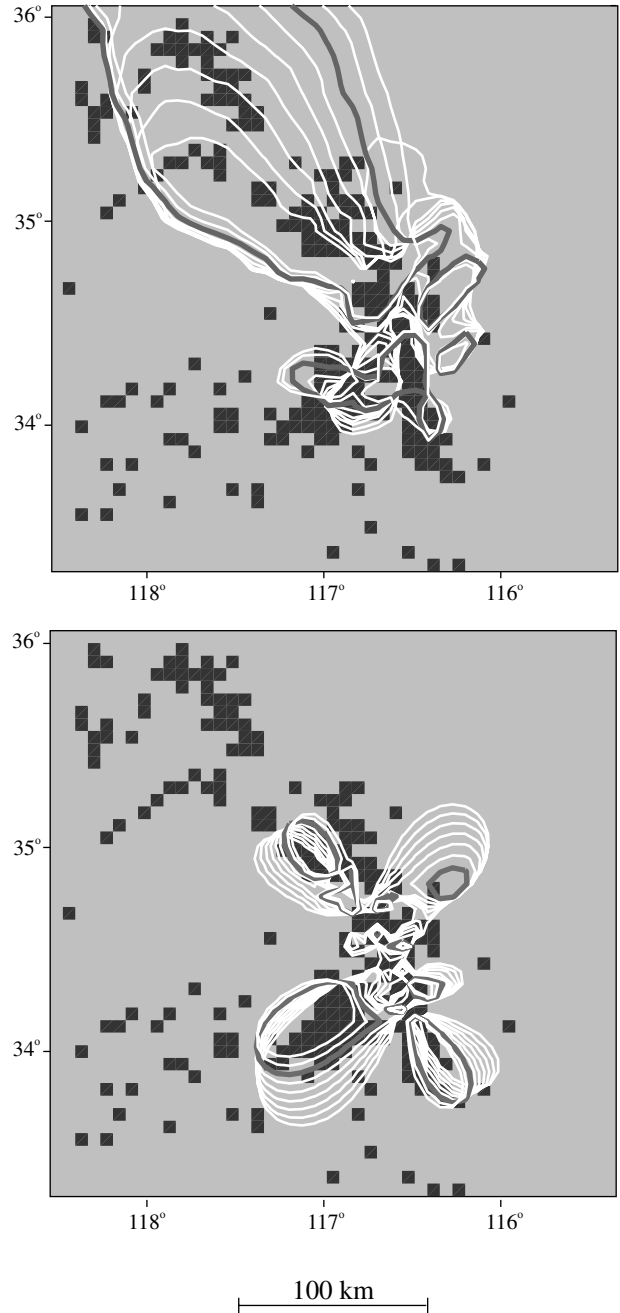
**Figure 8.** Contours of (top) peak  $\Delta CFS(t) \geq 4$  MPa and (bottom)  $\Delta CFS \geq 0.1$  MPa superimposed on rate change ternary maps. Ternary map shading indicate significant rate increases (black), decreases (white), and regions of no change (gray).

determine how much the table values deviate from the expected values if the results were model independent [Sachs, 1984]. Our results show we can reject the null hypothesis at the 99% level and infer that the peak  $\Delta CFS(t)$  model correlates with seismicity rate change at least as well as the  $\Delta CFS$  model.

**4.1. Sensitivity Tests**

[29] Our results are insensitive to reasonable variations (i.e., those found in the literature) of the friction or Skempton’s coefficients, material properties, declustering parameters, and the  $\beta$  statistic significance level. We attempt to test the sensitivity of our results due to errors in assumed failure plane orientation and

slip direction required for the calculations of  $\Delta CFS$  and peak  $\Delta CFS(t)$ . Unlike many studies that investigate optimally oriented failure planes [Stein et al., 1992; King et al., 1994; Gross and Kisslinger, 1997; Toda et al., 1998], we assume that all observation planes have the same orientation (strike of  $330^\circ$ ; dip of  $90^\circ$ ) and slip direction (right lateral). For locations away from the main



**Figure 9.** Mapped contours outlining (top) peak  $\Delta CFS(t) \geq 4$  MPa and (bottom)  $\Delta CFS \geq 0.1$  MPa for vertical right-lateral observation plane’s with strikes ranging between  $300^\circ$  and  $340^\circ$ , as suggested by aftershock focal mechanisms (see Figure 4). Significant changes take place at regions away from the main shock fault. The bold contour indicates the preferred fault orientation used in this study. Contours are overlaid on the ternary seismicity rate change map described in Figure 6b. Ternary map shading indicates significant rate increases (black), decreases (white), and regions of no change (gray).

shock fault this orientation is nearly optimally oriented given the  $\sim N7^\circ E$  principal compressional background stress field orientation in the area [Lisowski *et al.*, 1991; King *et al.*, 1994]. For locations close to the fault (<10 km) the stress field, and consequently the optimally oriented failure planes, varies significantly [Hardebeck *et al.*, 1998]. However, this region encompasses <2% of our total study area. We find that our results are insensitive to observed variations in aftershock rake and dip parameters (see Figure 4), but variations in fault strike within the observed  $40^\circ$  range can cause the mapped  $\Delta CFS$  and peak  $\Delta CFS(t)$  contours to rotate up to  $\sim 20^\circ$  and the width of contoured stress lobes to change by a factor of 2 (Figure 9). Nonetheless, our final results appear relatively stable for such rotations.

[30] We do not explicitly incorporate in our analyses the stress changes associated with the *M*6.2 Big Bear earthquake, which occurred  $\sim 3$  hours after and within 40 km of the Landers main shock [Hauksson *et al.*, 1993]. We did qualitatively compare  $\Delta CFS$  and peak  $\Delta CFS(t)$  maps computed with and without stress changes from both the *M*6.1 Joshua Tree and *M*6.2 Big Bear earthquakes and found notable differences only in the immediate vicinity of these events. Some Big Bear aftershocks undoubtedly remain in our declustered catalog, and we do not rigorously assess their potential contribution because both Joshua Tree and Big Bear aftershocks affect only the southern portion of our study area. Our primary conclusions stem from the strong correlation between the asymmetries in seismicity rate and peak  $\Delta CFS(t)$  in the north, so a more rigorous accounting of the Big Bear and Joshua Tree earthquakes should not alter them.

## 5. Discussion

[31] Quantitative and qualitative assessments of the correlations between stress changes and seismicity rate changes indicate peak  $\Delta CFS(t)$  is, at minimum, as effective at triggering aftershocks as  $\Delta CFS$ . We base this conclusion on the observation that the spatial distribution of peak  $\Delta CFS(t)$  shares the same asymmetry as that in the seismicity rate change. The asymmetry in the peak  $\Delta CFS(t)$  map results from the propagating rupture and consequent directivity, required to model the Landers earthquake seismograms. Directivity has no effect on  $\Delta CFS$ , which depends only on the final permanent, relatively symmetric fault displacement. Thus the  $\Delta CFS$  map lacks the asymmetry evident in the peak  $\Delta CFS(t)$  map. Others have suggested that asymmetry in aftershock locations may be due to directivity but did not explicitly demonstrate the relationship [e.g., Hauksson *et al.*, 1993; King *et al.*, 1994; Toda *et al.*, 1998]. The results of our statistical tests do not adequately reflect the similarities between the asymmetries in the peak  $\Delta CFS(t)$  and seismicity rate change maps, primarily because of the discretization of the rate change estimates. However, as Figure 8 shows, the correlation between the seismicity rate change and peak  $\Delta CFS(t)$  is compelling and more striking than the correlation with  $\Delta CFS$ . We caution that this better correlation may simply reflect the greater contribution of dynamic stress changes to the total stress change as the distance increases and thus does not imply that dynamic stress changes are more effective triggers than static stress changes. To infer that either dynamic or static stress changes were more effective triggers, one would have to observe differences in correlations for peak  $\Delta CFS(t)$  and  $\Delta CFS$  that could not be attributed to other effects (e.g., a better correlation for peak  $\Delta CFS(t)$  relative to that for  $\Delta CFS$  closer to the fault than farther away, or a lack of correlation for only peak  $\Delta CFS(t)$  or only  $\Delta CFS$ ). Certainly, factors we have not accounted for, such as the distribution of available faults, spatial variations in rheology, and background stress field variations, may contribute to or detract from the estimated correlations [Gomberg, 1996; Gross and Kisslinger, 1997; Mori and Abercrombie, 1997; Astiz *et al.*, 2000].

[32] Despite a lack of a definitive physical interpretation, the large peak  $\Delta CFS(t)$  amplitudes, relative to  $\Delta CFS$  amplitudes and

earthquake stress drops, provide compelling support for dynamic triggering. If stress changes can facilitate delayed failure by physically altering the fault or its environs, larger changes should be more effective triggers. A number of specific alteration mechanisms might be considered. For example, laboratory tests indicate that fault rupture may result from the influence of an oscillatory normal stress field [Brune *et al.*, 1993]. Bodin *et al.* [1998] also propose that extensional normal displacements are responsible for promoting failure and perhaps help to sustain fault rupture. Also, theoretical computations of stress changes induced by the Umbria-Marche earthquake sequence find normal stress changes best correlate with the progression of moderate magnitude events [Cocco *et al.*, 2000]. Oscillating shear stress changes may break asperities that otherwise hinder fault slip [Beeler and Tullis, 1997]. These concepts are analogous to the back-and-forth motion that is used to move a large rock that is partially imbedded in a host material. Several mechanisms rely on the presence of fault gouge, with and without fluids. Rapid normal stress variations have been shown to compact and consolidate fault gouge material, which if saturated might act to raise pore pressures and weaken the fault [Marone and Scholz, 1988; Scholz, 1990; Mora and Place, 1999; Richardson and Marone, 1999]. In the absence of fluids, acoustic fluidization has been proposed as a fault weakening mechanism (see summary by Sornette and Sornette [2000]).

## 6. Conclusions

[33] Our objective was to assess the spatial correlation between Coulomb stress changes ( $\Delta CFS$  or peak  $\Delta CFS(t)$ ) and seismicity rate changes following the Landers *M*7.3 earthquake. We suggest that sufficiently large stress changes alter the physical and/or chemical properties of a fault and/or its environs and move the fault closer to failure. This alteration need not result in immediate failure, as it simply enhances the potential and allows for delayed failure.

[34] We find that mapped patterns of  $\Delta CFS$  and peak  $\Delta CFS(t)$  differ in significant ways. Peak  $\Delta CFS(t)$  amplitudes exceed  $\Delta CFS$  amplitudes by an order of magnitude or more, and this relative difference exists for all depths studied, although the absolute values may vary as material properties change. Similar results hold for the individual components that compose  $\Delta CFS(t)$  and  $\Delta CFS$  ( $\Delta\tau(t)$ ,  $\Delta\sigma_n(t)$ , and  $\Delta P(t)$  and the static equivalents), although qualitative correlations with seismicity rate change favor  $\Delta CFS$  or peak  $\Delta CFS(t)$  over any of their components. The most convincing positive correlation is the asymmetry found in both mapped peak  $\Delta CFS(t)$  and seismicity rate change, which is not present in  $\Delta CFS$  maps. The asymmetry in peak  $\Delta CFS(t)$  results from rupture directivity, which is required to model waveforms of the 1992 Landers earthquake. These results are not sensitive to reasonable errors in model parameters.

[35] Quantitative statistical tests demonstrate the following: (1) Peak  $\Delta CFS(t)$ , which occurs during the interval of dynamic deformations, correlates at least as well as  $\Delta CFS$  with changes in seismicity rate. (2) Both  $\Delta CFS$  and peak  $\Delta CFS(t)$  correlate with seismicity rate change better, by  $\sim 10\%$ , than the correlation expected if rate changes were a consequence of a random process. Although this 10% correlation difference may not seem compelling, it is highly statistically significant. This is also a very conservative estimate because of the statistical analysis applied. (3) For model parameters at a depth of 4.5 km, viable Coulomb stress triggering amplitude thresholds (i.e., stress changes above which they correlate significantly with seismicity rate change) are 0.001–0.5 MPa for  $\Delta CFS$ , and any value  $\geq 0.5$  MPa for peak  $\Delta CFS(t)$ . The optimal triggering threshold values are 0.1 and 4.0 MPa for  $\Delta CFS$  and peak  $\Delta CFS(t)$ , respectively.

[36] A qualitative visual assessment of the correlation between mapped  $\Delta CFS$  and peak  $\Delta CFS(t)$  with seismicity rate change is much more compelling than the statistical results. Although the

asymmetry in the seismicity rate change pattern is mimicked only in the peak  $\Delta\text{CFS}(t)$  map, this relatively better correlation may simply reflect an increasing contribution of dynamic stress changes to the total with increasing distance. Nonetheless, we can conclude it is probable that large peak  $\Delta\text{CFS}(t)$  amplitudes (an order of magnitude larger than  $\Delta\text{CFS}$  and  $>10\%$  of the stress drop) are as effective as  $\Delta\text{CFS}$  in triggering aftershocks. Therefore the physical process of aftershock triggering should account for the time-dependent nature of the dynamic stress changes. Future work should test this hypothesis using main shock-aftershock sequences in other regions to determine if these results are a global phenomenon.

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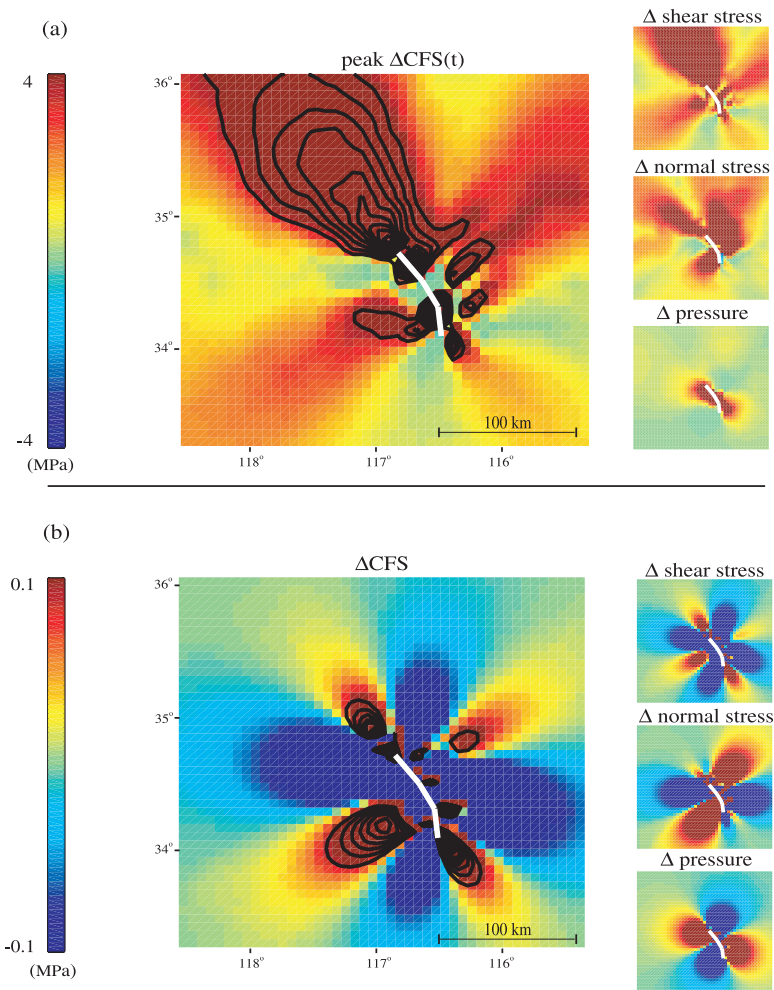
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P. Bodin, Center for Earthquake Research and Information, University of Memphis, 3876 Central Avenue, Memphis, TN 38152, USA. (bodin@ceri.memphis.edu)

J. Gomberg, U.S. Geological Survey, CERI, 3892 Central Avenue, Suite 2, Memphis, TN 38152, USA. (gomberg@usgs.gov)

D. Kilb, Institute for Geophysics and Planetary Physics, University of California, San Diego, MS 0225, La Jolla, CA 92093-0225, USA. (dkilb@epicenter.ucsd.edu)



**Figure 5.** (a) Map at 4.5 km depth of peak  $\Delta CFS(t)$  with contours indicating levels of peak  $\Delta CFS(t) \geq 4$  MPa with equal intervals of 1.5 MPa. Peak  $\Delta CFS(t)$  values are, by definition, always positive. Also shown are maps of the individual components that compose the final peak  $\Delta CFS(t)$  map. (b) As in Figure 5a but for a map of  $\Delta CFS$  with contours indicating levels of  $\Delta CFS(t) \geq 0.1$  MPa with equal intervals of 0.05 MPa.