

1 **Rare measurements of a Sprite with Halo event driven by a negative lightning discharge**
2 **over Argentina**

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14
15 **Abstract**

16
17 As part of a collaborative campaign to investigate Transient Luminous Events (TLEs) over
18 South America, coordinated optical, ELF/VLF, and lightning measurements were made of a
19 mesoscale thunderstorm observed on February 22-23, 2006 over northern Argentina that
20 produced 445 TLEs within a ~6 hour period. Here, we report comprehensive measurements of
21 one of these events, a sprite with halo that was unambiguously associated with a large negative
22 cloud-to-ground (CG) lightning discharge with an impulsive vertical charge moment change
23 (ΔM_{QV}) of -503 C.km. This event was similar in its location, morphology and duration to other
24 positive TLEs observed from this storm. However, the downward extent of the negative
25 streamers was limited to 25 km, and their apparent brightness was lower than that of a
26 comparable positive event. Observations of negative CG events are rare, and these measurements
27 provide further evidence that sprites can be driven by upward as well as downward electric
28 fields, as predicted by the conventional breakdown mechanism.

29
30 **1. Introduction**

31

32 Sprites are the most prominent members of an extraordinary family of Transient Luminous
33 Events (TLEs) which include elves, halos and jets. Sprites originate in the middle atmosphere in
34 association with severe thunderstorms and often appear as clusters of bright column or carrot-
35 like structures extending near-vertically from the mesosphere into the stratosphere (~ 40-90 km).
36 Since their scientific discovery in the late 1980's [Franz et al., 1990] thousands of sprites have
37 been imaged, and much is now known about their characteristics and underlying physical
38 processes. In particular, high resolution [Gerken et al., 2003], and high speed [e.g., McHarg et
39 al., 2007] measurements have shown that sprites are composed of individual streamers produced
40 by a strong quasi-electrostatic field following cloud-to-ground (CG) lightning strokes [e.g.,
41 Pasko et al., 1997] with large charge transfer. Sprites have a duration of a few to several 10s of
42 milliseconds. In comparison, halos sometimes precede sprite formation, occurring within a few
43 milliseconds of the parent CG, and appear as a faint, diffuse, disk-shaped emission from which
44 structured sprites may develop [e.g., Stenbaek-Nielsen, 2000, Barrington-Leigh et al., 2001].

45

46 To date, the overwhelming association of sprites with positive CG events [e.g., Lyons 1996]
47 is enigmatic as the conventional quasi-static breakdown mechanism does not depend on the
48 polarity of the parent CG discharge [Pasko et al., 1997]. Of the several thousand sprites reported
49 in the literature only two events have been unambiguously associated with negative CG
50 discharges [Barrington-Leigh et al., 1999]. Although there have been several other reports of
51 sprites associated with negative CG discharges, Williams et al. [2007] have recently questioned
52 their validity, due primarily to large timing discrepancies, and concluded that the ratio of positive
53 to negative sprite production is at least 1000:1. In contrast, investigations of halos, although

54 relatively few [e.g., Wescott et al., 2001], have revealed their association with both positive and
55 negative CG discharges, with a clear predominance for negative CG halos to occur over open
56 water [e.g., Frey et al., 2007].

57

58 Due to their rarity, observations of sprites triggered by negative CGs are of great interest
59 and the measurements of Barrington-Leigh et al. [1999] remain exceptional to date. They
60 reported high-speed photometric and ELF/VLF data on two discrete sprite events observed over
61 the Gulf of California, Mexico, that were closely associated (within 5 ms) with two large
62 negative CG lightning strokes. Coincident low-light video data showed clear evidence of sprites
63 with vertical (columnar) structure.

64

65 Here we report new evidence of a well-formed sprite with halo event (hereafter termed a
66 sprite-halo), and establish its temporal and spatial association with a large negative CG
67 discharge. The measurements were made from Southern Brazil as part of a joint US-Brazil
68 campaign and provide novel measurements of the spatial structure, altitudinal extent and relative
69 brightness of this event and its associated electromagnetic properties.

70

71 **2. Instrumentation**

72

73 Ground-based measurements were made from the Southern Space Observatory (SSO) at Sao
74 Martinho da Serra (29.4°S, 53.8°W, 480 m) near Santa Maria, Rio Grande do Sul, Brazil. The
75 isolated location of this facility enabled high-quality observations of TLEs at large ranges, up to
76 ~1000 km. Instrumentation comprised two intensified Xybion CCD cameras from Utah State

77 University and a compact broadband ELF/VLF sensor system from Duke University. Both
78 cameras were operated in manual gain and field mode (60 Hz) resulting in a 16.7 ms exposure
79 time. GPS timing (accurate to 1 ms) was encoded onto each video data stream.

80

81 The Duke electromagnetic sensors comprised one pair of magnetic field coils to measure the
82 vector horizontal magnetic field and one vertical AC electric field sensor (provided by Quasar
83 Federal Systems, Inc) continuously sampled at 100 kHz. The electric and magnetic sensors had
84 flat pass bands from 2 Hz to 25 kHz and 5 Hz to 25 kHz, respectively. Absolute timing using
85 GPS was validated to better than $20\mu\text{s}$ prior to deployment in Brazil using U.S. National
86 Lightning Detection Network data. Cross calibration ensured that the impulsive ΔM_{QV} results are
87 directly comparable with recent measurements using sensors at Duke University [e.g., Cummer
88 and Lyons, 2005]. VLF-based measurements of the azimuth to the lightning source have an
89 uncertainty of $\sim 2^\circ$.

90

91 In parallel with these measurements, data from the World Wide Lightning Location
92 Network (WWLLN, see <http://wwlln.net>) were used to identify the timing and geographic
93 location of the lightning discharges. The WWLLN provided real-time lightning locations
94 globally by measuring very low frequency (VLF) radiation (3-30 kHz) from lightning. The
95 timing, position and efficiency of WWLLN have been estimated for several key regions,
96 including South America, by comparison with local ground-based lightning detection systems
97 [e.g., Lay et al. 2004]. WWLLN is most sensitive to the largest lightning strokes and it is
98 estimated that $\sim 15\text{-}20\%$ of all cloud-to-ground lightning discharges within South America are
99 located with a spatial accuracy of ~ 10 km and a timing uncertainty of $< 30 \mu\text{s}$.

100

101 **3. Observations**

102

103 On 22 February, 2006 a large mesoscale convective system (MCS) developed over northern
104 Argentina. During the night the storm complex lay almost due west of SSO at a range of ~ 500 -
105 900 km and grew to an area of about $550,000$ km² [Thomas et al., 2007]. Sprites were first
106 detected around 02:30 UT February 23 (23:30 LT) and continued until dawn ($\sim 08:30$ UT)
107 resulting in 445 TLEs during ~ 6 -hrs of observations, making it the third most active spriting
108 storm on record. The majority of the TLEs ($\sim 60\%$) comprised sprite clusters, with numerous
109 halos (62 events) and sprite-halos (121 events).

110

111 Figure 1 shows the viewing geometry from 05-06:00 UT encompassing the negative event.
112 Two cameras were aimed W-SW with fields of view of $\sim 15^\circ$ and $\sim 30^\circ$ that overlapped by $\sim 5^\circ$.
113 The circles show the locations of 81 TLEs imaged during this 1-hour interval, all of which were
114 associated with positive CGs. WWLLN lightning locations and rainfall data from the Tropical
115 Rainfall Measuring Mission (TRMM) satellite indicate that these events occurred predominantly
116 above the stratiform region of the MCS, rather than the convective core regions. Furthermore,
117 WWLLN identified 18 of these events (open circles) providing additional information on their
118 location. The positions of the remaining TLEs (solid circles) were estimated from their central
119 azimuth and elevation in image data assuming an altitude of 86 km (the mean of over 100 sprites
120 identified by WWLLN during this night). The resultant uncertainty in the location of these
121 events is ~ 10 - 15 km, and has minimal effect on their overall spatial distribution. The star

122 indicates the WWLLN location of the negative event (31.039°S , 63.457°W), which occurred at
123 $\sim 05:29:33$ UT and was detected by both cameras and the ELF/VLF sensors.

124

125 Figure 2a shows an enlarged ($6^{\circ} \times 4^{\circ}$) image of this event as captured by the narrow angle
126 camera at $05:29:33.522$ UT. A well-developed sprite-halo is evident exhibiting a characteristic
127 upper diffuse horizontal disk with several embedded, bright columnar forms and fainter tendrils
128 extending downwards and branching at lower elevations. In the wider field image this event was
129 observed in a single video field (16.7 ms duration) at $05:29:33.535$ UT, whereas the narrow
130 angle data (Figure 2) show development of the sprite-halo over two consecutive video fields.
131 Together these data limit the sprite initiation time to between $05:29:33.515 - 519$ UT (taking into
132 account the ~ 3 ms propagation time to SSO), with a maximum duration of <17 ms, which is in
133 excellent agreement with the WWLLN time of $05:29:33.5162$ UT.

134

135 Coincident ELF/VLF measurements from SSO have been used to determine the polarity,
136 current, and charge characteristics of the causative lightning stroke. Figure 2b shows its
137 ELF/VLF azimuthal magnetic field (B_{ϕ}) waveform. The spheric onset time of $05:29:33.5193$ UT
138 matches the WWLLN data within 0.1 ms (taking the 3 ms travel time into account). The large
139 upward pulse unmistakably shows that this TLE was produced by a negative CG discharge with
140 associated downward net charge transfer. In comparison, Figure 2c shows B_{ϕ} for a similar
141 sprite-halo that occurred at the same azimuth (255.4°) ~ 1 hour earlier. The sharp downward
142 pulse is typical for a TLE produced by a positive CG (these two events are compared further
143 later). The ΔM_{Qv} (first 2 ms) for the $-CG$ (method of Cummer and Inan [2000]) was determined
144 to be -503 C.km. Confirmation of the negative polarity of this event was provided by

145 simultaneous vertical electric field data from SSO (not shown), and by Y. Yair, University of Tel
146 Aviv (private communication) using ELF measurements from Israel and Hungary.

147

148 Combining the WWLLN location of the negative event with its measured azimuth and
149 elevation from the image data (determined using standard star field calibration and taking full
150 account of refraction effects), the altitude of the halo center was determined to be 83 ± 1 km, and
151 its diameter 89 ± 5 km.

152

153 **4. Discussion**

154

155 Here we report observations of a single sprite-halo event that was unambiguously associated
156 with a negative CG, occurring within 3 ms of the parent lightning stroke. Previously, only two
157 negative events have been substantiated, exhibiting exceptionally large ΔM_{Qv} (-1380 and -1550
158 C.km) as measured within the first 5 ms of the spheric (both observed over the Gulf of
159 California). However, their video signatures were partially obscured by cloud and no estimates
160 of their vertical extent were made [Barrington-Leigh et al., 1999]. The parent storm was unusual
161 as the sprites were produced from a region overly dominated by -CG lightning, with very few
162 (~1.5%) +CG discharges detected during its lifetime, compared with the surrounding MCS that
163 exhibited positive CG occurrence rate of ~6% which is more typical of a sprite-producing storm.
164 In contrast, the negative event reported here originated from a large MCS over the Pampas of
165 Argentina (~ 600 km to the nearest open water), that produced numerous TLEs (at least 445),
166 within the stratiform region in close proximity to the observed negative event (Figure 1). The
167 ΔM_{Qv} (-503 C.km in 2 ms) associated with this event was at least 30% larger than other TLEs

168 observed within ± 10 min and 100 km radius (total 6 events), all of which were positive and had
169 ΔM_{Qv} ranging from +32 to +383 C.km. For direct comparison with the Barrington-Leigh et al.,
170 [1999], results we have further evaluated the ΔM_{Qv} of our negative event yielding -822 C.km
171 (over a 5 ms interval) with a total of -843 C.km over the 8 ms duration of the charge moment
172 change as determined from the spheric data. As were the negative events reported by
173 Barrington-Leigh et al. [1999], these are larger than the 2 ms charge moment changes in typical
174 positive CGs that produced short-delayed sprites (350–600 C.km) [Cummer and Lyons, 2005].

175
176 The conventional breakdown mechanism for initiating sprites and halos is largely
177 independent of the electric field direction, and thus the lightning polarity [Pasko et al., 1997].
178 However, the critical field needed to maintain streamer propagation is approximately a factor of
179 two larger for negative streamers when the field and propagation direction are anti-parallel
180 [Pasko et al., 2000; Bazelyan and Raizer, 2000]. Simulations [Pasko et al., 2000] predict that
181 although positive and negative sprites should be morphologically similar, positive sprites should
182 extend approximately 10 km lower in altitude under otherwise identical conditions (e.g., charge
183 moment change and atmospheric conductivity). To investigate this Figure 3 (a, b) shows the
184 development of the negative sprite-halo as recorded by the narrow field camera over two
185 consecutive video fields (total duration 33 ms). As the halo (center altitude 83 km \pm 1 km) faded
186 during this interval, the sprite evolved with additional streamers and some limited downward
187 development of existing streamers. Using the WWLLN location, the lowest visible border of the
188 streamers was determined to be \sim 63 km for the first field and \sim 61 km for a small part of the
189 second field. However, the base of the streamers clearly remained above the horizon at SSO (as
190 shown by the horizontal line). This indicates a relatively short vertical extent (\sim 25 km) for the

191 negative sprite with no obvious streamer penetration into the stratosphere. Figure 3c shows the
192 optical signature of a sprite-halo that was produced by a positive CG with a similar ΔM_{QV} (480
193 C.km, shown in Figure 2c) to that of the negative event. This TLE was imaged by the narrow
194 field camera at approximately the same azimuth (255.4°) as the negative event, but ~ 1 -hour
195 earlier (04:28:01 UT). The video data also show that it occurred at almost the same geographic
196 location as the negative sprite-halo (within 30 km) and exhibited a similar halo diameter (85 ± 5
197 km), assuming a central altitude of 83 km. The tendrils appear to be cut-off at the horizon
198 (horizontal line) suggesting they extended to lower altitudes than ~ 60 km. Comparing these two
199 events of different polarity suggests that the positive sprite-halo exhibited streamers that were
200 significantly longer, in agreement with predictions of Pasko et al, [2000].

201
202 As the Xyion camera was operated at the same electronic gain throughout the night we can
203 also investigate changes in relative brightness of the negative event as it developed. This is
204 shown in Figure 3 (d, e) which plot the relative brightness of the sprite-halo as determined from a
205 horizontal “intensity scan” through the middle of the halo centered at 83 km altitude. Significant
206 development of both halo and sprite emissions are evident from one field (17 ms duration) to the
207 next. Initially, several narrow sprite structures are evident (Figure 3d) imbedded in the diffuse
208 halo emission, which appears as a large symmetric bulge of peak relative brightness $\sim 30,000$
209 counts (or $\sim 60\%$ of maximum signal level). The subsequent field shows further intensification
210 of the sprite’s columnar-like structures (close to the video saturation level) and a significant
211 decrease in the relative brightness of the halo emission by $\sim 50\%$. Figure 3f shows a relative
212 intensity scan through the halo region of the positive event, which exhibited comparable spatial
213 dimensions to the negative event (but was only evident in one video field). Although the basic

214 shape of the plot is similar the combined sprite and halo emissions saturated the camera and the
215 imbedded sprite structures are not discernable.

216

217 Together these results suggest that both the length and the apparent brightness of the
218 downward negative streamers are more limited for this negative driven sprite-halo as compared
219 with a positive event of similar location, morphology and ΔM_{QV} . The shorter negative streamer
220 lengths are consistent with the need for larger critical fields to maintain downward streamer
221 propagation [Bazelyan and Raizer, 2000]. Furthermore, Liu and Pasko (2004) have shown that
222 under identical conditions, positive sprite streamers would appear brighter due to the larger
223 expansion of their streamer heads and higher electron densities and electric fields in their heads,
224 as compared with negative streamers, which would appear dimmer when propagating downward
225 over the same distance. However, we appreciate that there are many factors which ultimately
226 control the development of a sprite, and the widely used phrase that “no two sprites are the
227 same”, underlines the inherent difficulties of performing such a comparison. This said, these
228 data have provided us with the best opportunity to date to study a well-defined negative sprite
229 event and to compare its optical properties with those of a similar sprite-halo generated by a
230 positive CG.

231

232 **5. Summary**

233

234 Of the many thousands of sprites reported in the literature, clear images of a negative
235 polarity sprite are extremely rare. Here we report detailed measurement of a sprite-halo event
236 that was unambiguously produced by a –CG lightning stroke originating from an MCS over

237 Argentina. The identification of this TLE was made using a combination of simultaneous video,
238 ELF/VLF radio measurements, and WWLLN lightning data and provides further proof that
239 sprites can be driven by upward as well as downward electric fields, as predicted by the
240 conventional breakdown mechanism [e.g., Pasko et al., 1997]. The negative event was similar in
241 its morphology and duration to other positive polarity TLEs observed from this storm. However,
242 its apparent brightness was lower than that of a similarly located positive event of comparable
243 ΔM_{QV} , and the vertical extent of the downward negative streamers was limited to ~25 km. Such
244 morphological differences are important for constraining effects of streamers on the mesosphere.

245

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251

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311 **List of Figures**

312

313 **Figure 1.** Map showing locations of 81 TLEs observed from 05-06:00 UT. Open circles denote
314 18 WWLLN events. Solid circles depict estimated locations of remaining events. The star
315 locates the negative sprite-halo.

316

317 **Figure 2.** (a) Enlarged ($6^\circ \times 4^\circ$) image of the negative event at 05:29:33.522 UT showing a well
318 developed sprite-halo with streamers. (b) The ELF/VLF azimuthal magnetic field (B_ϕ)
319 waveform associated with this event. (c) The B_ϕ waveform of a sprite-halo (Figure 3c) produced
320 by a positive CG of similar ΔM_{Qv} .

321

322 **Figure 3.** Images (a, b) showing the downward development of the negative event over two
323 video fields (duration 33 ms). The data are shown as “negative” images, after background
324 subtraction, to enhance the sprite structures (lower visible border ~ 61 km). Image (c) shows the
325 positive sprite-halo, which occurred at approximately the same location 1-hr earlier. Panels (d, e,
326 f) compare horizontal cross-sections of the relative intensity of the negative and positive events.





