- **1** Local Basis Function Representations of Thermodynamic
- 2 Surfaces: Water at High Pressure and Temperature as an
- 3 Example

- 5 J. Michael Brown
- 6 Department of Earth and Space Sciences
- 7 Box 35-1310
- 8 University of Washington
- 9 Seattle, WA 98195
- 10 <u>brown@ess.washington.edu</u>
- 11 206-616-6058

13 Abstract

A flexible numerical framework using local basis function is developed in order to 14 15 better represent thermodynamic properties of fluids as a function of pressure 16 temperature and composition. A new equation of state for water to 100 GPa and 17 10,000 K is presented. Conventional equations of state are typically based on 18 complex sets of global basis functions that are unique to each application. The use of 19 series expansions in positive and negative powers of the independent variables 20 (with additional exponential/log/trig. factors in some cases) is common and recent 21 accurate equations of state are assembled from collections of such arbitrary terms. 22 These individually crafted representations do not always fit data within 23 uncertainties, can be difficult to implement, and are not easily modified to account 24 for newer data. Multivariate (tensor) b splines overcome these shortcomings. The 25 underlying basis functions are local, orthonormal and complete. Data can be 26 represented to arbitrary precision and the relationships between model parameters 27 and observational constraints are uncomplicated. The fittings of new data in more 28 extended regimes of the thermodynamic space do not require modifying parameters 29 in previously constrained regions. Models are transportable and easily implemented 30 since robust and efficient standardized routines for evaluation of b splines are 31 available in modern numerical environments. Because a local basis function 32 representation can flexibly fit any surface, the articulation of *a priori* constraints 33 (associated with expected physical and chemical behavior) is appropriately 34 necessary and explicit during model construction. Basic tools are demonstrated in 35 applications to thermodynamic properties of water where the modified equation of 36 state matches a prior formulation below 1 GPa while providing an excellent fit to 37 measurements at much higher pressures.

38 Keywords

b spline; local basis functions; equation of state; fluid thermodynamics; regularizedparameter estimation; water

43 Highlights

- Fluid thermodynamics are represented using local basis functions (b splines)
 - Representations are easily shared using compact files and standardized algorithms
- 47 No material-specific coding is needed
- Regularization allows sparse and scattered data to be represented
- A modified equation of state for water is determined

42

45

51 **1. Introduction**

52 Comprehensive thermodynamic representations of fluids under extreme conditions 53 of pressure and temperature are commonly parameterized with individually crafted 54 combinations of arbitrary basis functions (see the overview given by Span [1]). 55 Although able to adequately fit extant data, such representations are difficult to 56 modify in the face of new results in extended regimes of pressure-temperature-57 composition. For example, no single available model for water is capable of 58 successfully predicting its properties over the full span of pressures and 59 temperatures. The sound speed measurements in water to high pressures [2] 60 provided evidence that the internationally recognized equation of state for water, 61 IAPWS-95 [3], while accurately predicting properties in a low-pressure regime, is 62 systematically in error beyond about 1 GPa. The complexity of the representation 63 underlying IAPWS-95 precludes simple modifications to account for the new 64 measurements. The focus here is on pure water. However, the methods described 65 are extendable to multi-component systems. The accurate descriptions of 66 thermodynamic properties of fluid mixtures (whether electrolytic solutions or 67 water with non-polar fluids) also remain problematic.

68 The goal is to provide a path by which high-pressure measurements can be more 69 readily converted into accurate and comprehensive thermodynamic representations 70 in a standardized (universal) electronic format. A framework is described for 71 accurately representing either individual datasets of a particular thermodynamic 72 property (*e.g.* specific heat, density, sound speed) or the comprehensive 73 representation of the underlying energy potential (including Helmholtz, Gibbs, or 74 internal). This framework allows arbitrary precision in fitting data and ongoing 75 modifications to accommodate new information in more extended regimes of 76 pressure, temperature, and composition without changing the representation 77 elsewhere. Furthermore, physical constraints can be used to guide the behavior of 78 the representations in regions of sparse or non-existent experimental constraints.

The methods are based on algorithms that are widely available in numerical
libraries. Additional functions needed for thermodynamic applications are provided
in the supplemental materials. This simplicity is in contrast to the complex and
custom representations that are dominate in the literature.

83 The benefits of the recommended approach include: (1) the process to create a 84 representation is straightforward and requires no extensive search for an 85 appropriate custom numerical form for each fluid's equation of state. (2) Since the 86 model format is universal and independent of the material being represented, the 87 resultant thermodynamic representations can be easily communicated (shared 88 electronically as a set of model parameters). (3) The accuracy of the fit is by choice 89 during construction rather than being dictated by the form of a particular arbitrary 90 equation. (4) These representations can easily be modified to account for revised 91 information in new regimes of thermodynamic states. (5) The parameters of the 92 representation are in units of the quantity to be represented and are approximately 93 equal to that quantity at each specified state. (6) Numerical evaluations based on 94 this formalism are robust and efficient (*ie.* computationally fast).

95 The utility of the current approach is illustrated through a re-evaluation of the

96 thermodynamic properties of water in light of high-pressure experimental

97 constraints. IAPWS-95 is the reference equation of state against which

98 modifications can be compared. The methods described are equally applicable for

99 use in multicomponent systems; the numerical framework for that has been

100 developed and will be discussed elsewhere.

101 1.1 Basis functions; From global to local

102 Numerical terms, the "basis functions", in equations of state range from simple

103 linear relationships, including the perennially favored series expansion with

104 positive and/or negative powers of independent variables, to non-linear

105 representations containing complex, and sometimes nested, combinations of model

- 106 parameters and functions, including logs, exponentials and trig functions. Basis
- 107 functions are "global" when each model parameter contributes everywhere.

108 Global basis functions may not be orthogonal; properties at any point can result 109 from correlated interactions among the model parameters. Functions may also not 110 be normalized; model parameters can represent complex combinations of units that 111 make unit conversions or code development more difficult. Furthermore, it is not 112 guaranteed that a chosen combination of global basis function is complete. The 113 representation may not be able to fit data to arbitrary precision (*ie.* within 114 experimental uncertainties). Because each basis functions contribute everywhere, 115 adding new observations requires a refitting of all model parameters and frequently 116 a re-selection of appropriate basis functions.

117 The varieties, differences, and complexities found in implementations of global basis 118 functions are apparent in the literature. For example, Phutela and Pitzer [4] and 119 separately Archer and Rard [5] investigated the behavior of aqueous MgSO₄ at 1 bar 120 pressure. Data, some of it in common between the studies, were analyzed within the 121 context of the same electrolyte chemistry theory. Different sets of nested arbitrary 122 functions were chosen in each investigation. The numerical implementation of these 123 models is time intensive, and applicable for only one solution chemistry. The 124 extension to high pressure is not straightforward. In an effort to account for the 125 high-pressure behavior, Marion *et al.* [6] linearized the thermodynamic derivatives 126 with respect to pressure and created additional arbitrary power law 127 representations as a function of pressure and temperature. This could only account 128 for high-pressure behavior over a relatively small regime of pressure [7]. In another 129 study, the high-pressure equation of state for aqueous NaCl [8] was formulated 130 using complicated non-linear functions (including logs and exponentials) of 131 temperature and pressure. IAPWS-95, the widely used equation of state for water, 132 includes 58 terms with over 300 parameters. A critical evaluation or the 133 implementation of any of these models remains challenging. The numerical coding is 134 unique in each case. Sensitivity testing or error analysis is difficult. Extension or 135 revision to account for new results is rare.

The utility of "local" basis functions (numerical terms that have separate regions ofinfluence) has been recognized [1] and IAPWS-95 includes partially localized basis

138 functions, created through multiplication of polynomial terms by a decaying 139 exponential factor. Through a trial and error algorithm to find a basis function set 140 that adequately fits the data, terms were chosen from a large library of possible 141 functions, Wagner and Pruss [3] were able to fit selected data within uncertainties 142 extending from steam to water and from temperatures below freezing to well above 143 the critical point. Extensive data are available to 100 MPa and sparser data to 1 GPa. 144 No effort was made to accurately fit higher-pressure measurements; their 145 representation exhibits systematic misfits in the regime of diamond anvil and shock 146 wave experiments [2]. Within the framework used to construct the IAPWS-95 147 parameterization, it is not possible to better represent the high-pressure data 148 without undertaking a new search for appropriate basis functions and to refit all 149 low pressure and high-pressure data.

150 A switch to truly local basis functions allows accurate representation of any 151 thermodynamic surface. A fit of data to arbitrary precision is possible; parameters 152 in one thermodynamic region need not change if new measurements in another 153 regime require modification of the surface. Through use of standardized algorithms, 154 a model can be communicated as an electronic list of parameters that are correctly 155 interpreted in any numerical environment without specialized coding. The arbitrary 156 shape of a surface represented by local basis functions is entirely a result of the 157 fitting process (rather than being an implicit outcome of a chosen collection of 158 global basis functions). However, strong *a priori* constraints, based on known 159 physics or chemistry, can and should guide creation of the representation.

160 **1.2 The Use of b Splines for Thermodynamic Representations**

161 Local basis functions (*LBF*) in the form of "basis function splines" or 'b splines', as

162 described by de Boor [9], are polynomials on intervals with matching derivatives at

163 interval boundaries. These representations are characterized by their order (ie.,

164 polynomial degree), interval (knot) spacings, and coefficients (model parameters

associated with the intervals). The coefficients have units of the quantity being

represented and as intervals become small, the coefficients approach the values ofthe quantity being represented within each interval.

A b spline of order 1 (polynomial degree 0) provides a constant value between

169 gridded entries. Modeled values change discontinuously at interval boundaries. A b

170 spline of order 2 (polynomial degree 1) is equivalent to linear interpolation. Values

are continuous at boundaries but higher order derivatives are discontinuous. With

172 further increase of spline order (polynomial degree), the number of continuous

173 derivatives at interval boundaries increases. Further details of b spline basis

174 functions are provided in appendix 1.

175 Through their construction, b splines basis functions are orthogonal, normalized,

and can be complete [9]. Robust and efficient algorithms in standard numerical

177 libraries allow their evaluation. Derivatives and integrals of b splines are themselves

b splines. Thus, a b spline provides an analytic functional representation that can be

179 easily integrated or differentiated (to the limit of the chosen order). Importantly,

180 the relationship between b spline coefficients and the represented quantity is linear.

181 Thus, the creation of a b spline representation is accomplished through the

182 numerical solution of a set of linear equations, the "normal equations".

183 The communication of an *LBF* model (its transport and implementation) requires

184 only a small electronic file containing the spline orders, knot sequences, and

associated coefficients. The requisite subroutines to evaluate splines are found in all

186 modern numerical environments (*e.g.,* FORTRAN, Mathematica, Python, MATLAB).

187 Example MATLAB scripts and functions are provided in the supplemental materials

188 to illustrate the ideas. The conversion of these examples to other languages and

189 environments is straightforward.

2. Determination of thermodynamic surfaces using local basis functions

191 Here an *LBF* representation is found as solution to the regularized linear problem:

$$\begin{pmatrix} data \ basis \ functions \\ \lambda \ \begin{pmatrix} regularization \\ basis \ functions \end{pmatrix} \end{pmatrix} (model \ parameters) = \begin{pmatrix} data \\ zeros \end{pmatrix}$$

193 where the data basis functions in Equation 1 are values of the b spline basis 194 functions evaluated at data sites, model parameters are the b spline coefficients, and 195 "data" on the right side are quantities to be represented. In conventional least 196 squares fitting, more data than model parameters are required. Since, when fitting 197 thermodynamic data, model parameters are likely more numerous than data, 198 additional constraints are required. If the surface is expected to be "smooth", second 199 derivatives of the surface should be small everywhere and regularization, minimally 200 evaluated at every grid point, is composed of second derivative basis functions. The 201 "damping factor", λ , weights how strongly regularization needs to be enforced. The 202 general framework of regularized parameter estimation is described in [10]. Data 203 used in construction of the representation through Equation 1 can be scattered and 204 sparse if the regularization provides an adequate constraint on the form of the 205 representation in regimes of interpolation or extrapolation.

206 The representation of energy as a function of the independent variables provides a 207 complete equation of state description. Use of the Helmholtz energy (function of 208 temperature and volume) underlies the development of theoretical and empirical 209 efforts including the formulation of IAPWS-95. An equivalent representation using 210 Gibbs energy is a more direct link to experiments and applications since its 211 independent variables, pressure and temperature, are those normally measured. 212 For this reason, the present work focuses on Gibbs energy representations. 213 However, the underlying numerical framework is as easily implemented for any 214 choice of thermodynamic potential (*e.g.*, Helmholtz energy, or internal energy) with 215 their respective independent variables.

216 The "industrial version", IAPWS-97, [11] is also parameterized as Gibbs energy.

217 However, a complex geometry with three pressure and temperature domains was

218 required with separate representations. The result is less accurate than IAPWS-95

and covers only a limited regime of pressures (<100 MPa) and temperatures (<2273

220 K). As shown below, an *LBF* representation for Gibbs energy can reproduce IAPWS-

221 95 to parts-per-million accuracy over a far wider range of pressure and temperature.

Table 1 contains a summary of relationships between Gibbs free energy (*G*) and the
standard laboratory observables. Since both specific volumes and specific heats
(divided by T) are linear in model parameters for *G*, Equation 1, cast in the form

225

$$\begin{pmatrix} basis functions for G \\ \lambda_{C} & basis functions for G_{tt} \\ \lambda_{V} & basis functions for G_{p} \\ \lambda_{R} & basis functions \\ for regularization \end{pmatrix} (model parameters) = \begin{pmatrix} G \ data \\ \lambda_{C} \ -C_{p}/T \ data \\ \lambda_{V} \ V \ data \\ zeros \end{pmatrix}, 2$$

227

228 allows direct solution for the Gibbs energy model parameters on the basis of 229 measured values of volumes and specific heats. The values of the various λ_x in 230 Equation 2 determine the relative weight of each contribution to the overall fit. To 231 the extent that measurements adequately span the modeled range of pressures and 232 temperatures, density and specific heat measurements "regularize" the behavior of 233 the Gibbs energy surface by forcing least squares agreement of both G and 234 derivatives of G. With Gibbs energy specified only at a reference pressure (typically 235 1 bar), Equation 2 provides a solution by "collocation" [9] to the differential 236 equations relating *G* to values of specific heat and volume. The bottom entries in 237 Equation 2 can be any choice for derivative basis functions on the left and 238 corresponding zeros for each on the right side. The belief that specific heat and 239 volume are "smooth surfaces" suggests that their second derivatives (G_{4t} and G_{3p}) 240 should be small and λ_R enforces the degree of smoothness. Limiting behavior for 241 thermodynamic properties at extremes of pressure and temperature can also 242 provide regularization of the solution. Because sound speeds involve a non-linear 243 combination of derivatives, their use in determining Gibbs energy requires an 244 iterative approach based on an initial guess for G(P,T) (as shown in Equation A8). 245 Implementation of high-pressure limiting behavior for the pressure derivative of the 246 bulk modulus [12] would also require a non-linear approach.

247 Since sound speed measurements at high pressure and temperature are more

248 numerous and of higher accuracy than determinations of specific heat or density, an

alternate path to determining Gibbs energy is advantageous. Integration from a base

250 pressure of the coupled differential equations of density, sound speed, thermal

expansivity, and specific heat [2, 7, 13, 14, 15] provides a path to determine all

thermodynamic properties at high pressure.,

253
$$\frac{\partial \rho}{\partial P_T} = \frac{1}{u^2} + \frac{\alpha^2 T}{C_p}$$
 3

254
$$\frac{\partial C_p}{\partial P_T} = -T \frac{\partial^2 V}{\partial T^2_P}$$
 4

255

With knowledge of *G* at the base of isotherms (pressure P_0 for temperatures T), *G*(*P*,*T*) is determined through the additional integration:

258
$$G(P,T) = G(P_o,T) + \int_{P_o}^{P} V(P,T) dP$$
 5

259 The first term on the right side of Equation 3, the adiabatic derivative of density 260 with respect to pressure, is determined entirely by sound speed measurements. The 261 second term, the adiabatic to isothermal correction, can be comparable in size to the 262 adiabatic contribution at 1 bar but decreases with increasing pressure. In the 263 predictor-corrector approach to solution of Equations 3 and 4, both α and C_p are 264 estimated at an incremented pressure based on the derivatives of volume as a 265 function of temperature initially obtained at a prior pressure point. Newly obtained 266 volumes are then differentiated to improve and correct estimates of the quantities 267 in the adiabatic to isothermal term.

268 Gibbs energy provided by solution of Equation 5 can then be represented by local

- 269 basis functions through the solution of Equation 2. By construction, all
- thermodynamic properties are internally consistent with measured sound speeds.

271 The use of local basis functions requires care in the conceptualization of a model. An 272 *a priori* analysis of scope and objectives of the mathematical representation is 273 essential. This includes decisions on limits for independent variables in pressures. 274 temperatures, and chemical concentration and the degree of acceptable data misfit. 275 The physical or chemical constraints associated with an acceptable fit must be 276 explicitly considered. For example, the degree of surface "smoothness" and 277 expected asymptotic behavior can, in many cases, be articulated and incorporated 278 into the model. Standard statistical methods to evaluate the accuracy and 279 sensitivity of the model (eq. jackknife or bootstrap analysis [10]) can be readily 280 undertaken. The steps creating an *LBF* representation are illustrated in the 281 following sections.

3. Numerical implementation of *LBF* representations

283 Although all numerical environments have tools suitable for this numerical analysis, 284 here MATLAB® provides a convenient platform to articulate concepts associated 285 with *LBF* representations and is used for the following examples. In particular, the 286 "live script" environment of MATLAB allows a mixture of formatted text, executable 287 code, and embedded figures. These scripts enable users to duplicate all calculations 288 and figures given in this work. The live scripts are also converted to formatted 289 documents to enable "off-line" study of the methods, underlying implementation, 290 and graphical results.

291 Custom functions that implement *LBF* representations of thermodynamic properties

are located in the supplemental materials. The basic MATLAB functions and the

293 specialized functions are described in more detail in Appendix 2. Several key

294 functions are noted here.

295 The custom function *spdft* solves Equation 1 to find an analytic (*LBF*) representation

of surfaces with one, two, or three independent variables. Either scattered data

297 (typical of measurements) or gridded data (typical if a previously determined

- representation is available) can be used. No units are implicit in its use. The
- 299 remaining functions require consistent use of standard MKS units with pressures

- 300 given in MPa. The function *spgft* solves Equation 2 in order to create an *LBF*
- 301 representation for Gibbs energy as a function of pressure and temperature. The
- 302 function *eqst* implements a predictor-corrector solution of Equations 3, 4 and 5.
- 303 Given an *LBF* representation for Gibbs energy, the function *spGval* returns
- 304 thermodynamic properties, determined from analytically determined derivatives of
- the energy surface.

306 3.1. Basic tutorial

307 Steps in the workflow for local basis function representations include: (1) Decide 308 what minimum order spline is consistent with data (*ie.* what level of differentiation 309 of the representation is required). (2) Create a provisional sequence of "control 310 points" appropriate for the spline order and distribution of data (a set of spline 311 knots associated with the specified control points is automatically constructed 312 within the functions). (3) Undertake several trial fits using damping values differing 313 initially by orders of magnitude to find the appropriate range of damping. (4) To 314 achieve the desired misfit of data, the choice of damping is then further refined. (5) 315 If misfit remains large for even small values of damping, control point spacing can 316 be reduced or spline order can be increased until an adequate fit is found. (6) The 317 "size" of the representation (number of knots and coefficients) can be adjusted 318 (while checking that the representation still satisfies misfit expectations) by 319 exploring what minimum number of control points are required for a given spline 320 order.

321 A live script, *FitIndependentVariablesExamples* in the supplemental materials,

322 provides a tutorial on the use of local basis functions to fit thermodynamic

323 properties as a function of one, two, or three independent thermodynamic variables

- 324 (using function *spdft*). The user is encouraged to explore results by changing
- 325 parameters associated with creation of the representations or to view the (non-
- 326 executable) document version of the script.

In the first section, synthetic data of one independent variable are considered. Thisprovides an opportunity to gain insight into the use of local basis function fits with

329 the fewest required adjustments. A smooth representation requires a spline order of 330 at least four to provide continuous second derivatives of the associated third degree 331 (cubic) polynomials. The nature of the variation of the data in this example makes 332 typical polynomial fitting difficult (large second derivatives of y for small values x 333 and smaller curvature for large x). When the script is executed, results using 334 different values of the "damping factor", λ , are illustrated (here as Figure 1). A large 335 value of λ forces the fit to be smooth (low second derivatives); this results in a linear 336 least-square representation. With too little damping, the data are over-fit; the 337 representation is free to wiggle as allowed by the knot spacing and spline order. An 338 intermediate value for the damping provides a fit that has an acceptable reduced 339 chi-square (χ^2) misfit near 1. The user can verify that increasing the spline order in 340 this example has little impact on the fit; sufficient regularization insures that second 341 and higher order derivatives are adequately small. The control point intervals can 342 be made arbitrarily small. However, if intervals are too large, the basis functions 343 cannot fit data (the basis is "incomplete") to the required precision.

344 In the second section, sound speeds for water [15] as a function of pressure and 345 temperature are represented with a surface. An adequate fit is produced with the 346 parameters provided. The script demonstrates how data are input and how vectors 347 of control points are represented. The user can explore variations in damping for 348 each independent variable and what control point spacing is required in order to 349 achieve a fit within experimental uncertainty. A modest extension of the spline 350 surface beyond the data is illustrated. Since, in this example, regularization is based 351 on the second derivatives of the surface, the representation becomes increasingly 352 linear in regions of extrapolation.

353 In the third section of the script, sound speeds as a function of pressure,

temperature, and composition are represented by a surface of three independent

355 variables. The fitting process remains similar to those in the previous examples

356 with fewer independent variables. However, the larger number of possible

adjustments (choices for damping, spline order, control point sequences in each ofthree dimensions) increases the complexity of the analysis.

359 **3.2.** Reanalysis of sound speed measurements

360 The analysis below is undertaken by sequentially executing sections (creating

Figures 2, 3, and 4) within the script *WaterSoundSpeedFitting*. The function *spdft* is

362 used to fit sound speed measurements, *eqst* integrates sound speeds to give the full

363 equation of state, and *spgft* creates the local basis function representation.

364 In this script, a previously published analysis [15] is re-evaluated using local basis

365 functions. The intent is to show that the current methods provide a robust analysis

366 without the need for a specialized determination of custom basis functions. The

367 precise sound speed measurements [15] provide a comprehensive data set for

368 water from 252 K to 474 K and to a maximum pressure of 400 MPa. The 95%

369 confidence intervals (accounting for propagated errors in pressure, temperature,

and sound speed determination) range from less than 0.02% at low pressures to as

much as 0.04% at extremes of pressure and temperatures.

372 In Figure 2 (reproducible by executing the first section of *WaterSoundSpeedFitting*) 373 sound speed measurements and the sound speed surface predicted by IAPWS-95 374 are shown. The distribution of data, the behavior of the surface, and fluid limits 375 (melting phase lines for water ices) are apparent. As a result of freezing, 376 measurements are not possible on a full rectangular grid and the sound speed 377 measurements at high pressure are constrained within a shrinking range of 378 temperatures below 273 K. Notable is the variable curvature of the surface in 379 different regimes of pressure and temperature, which increases the difficulty in 380 creating a numerical representation based on global basis functions.

Following past efforts to accurately represent thermodynamic surfaces [13], in [15]
a set of custom polynomial basis functions were found with pressure as a function of
sound speed and temperature. This tactic reduces the number of required global
polynomial terms needed to achieve an arbitrary level of misfit. Determining the
requisite combination of terms, with positive and negative powers, for an acceptable

fit is part of that research agenda. The use of local basis functions simplifies this
process and, as shown below, allows the data to be more appropriately fit within
experimental uncertainty.

389 In the three panels of Figure 3 (created by executing the second section of 390 *WaterSoundSpeedFitting*) fractional misfits of the measured sound speeds from 391 three representations are shown. On the left side, the systematic deviations of 392 measured sound speeds from IAPWS-95 predictions indicate either that 393 measurements have errors larger than estimated or that IAPWS-95 is not 394 sufficiently accurate to match sound speeds within measurement uncertainty. As 395 expected, in the middle panel, deviations of measured sound speeds from the 396 polynomial parameterization reported in [15] are smaller than those shown on the 397 left side. However, these deviations remain larger than reported experimental 398 uncertainty and exhibit systematic trends. The inability to achieve a better fit is an 399 indication that the chosen numerical form is insufficiently complete. In the panel on 400 the right side of Figure 3 deviations of data from a local basis function 401 representation (using function *spdft*) are shown. Here the damping term λ for 402 second derivatives of the surface with respect to pressure and temperature was 403 adjusted to find an adequately smooth surface able to fit data within reported 404 uncertainty.

405 The equation of state for water (Gibbs energy representation) is explored in the 406 third section of WaterSoundSpeedFitting using the three representations of sound 407 speeds versus pressure and temperature. These are (1) IAPWS-95 sound speeds 408 (here used as a control to validate the accuracy of the numerical methods), (2) 409 sound speeds based on the parameterization given in [15] (to confirm that their 410 analysis is replicated), and (3) sound speeds based on the local basis function 411 representation. Equations 3, 4 and 5 are numerically solved (function *eqst*) and 412 resulting values for G, V and C_p were converted to an LBF representation for Gibbs 413 energy (function *spqft*). All thermodynamic properties (densities, specific heats, 414 sound speeds) are then calculated as appropriate derivatives of the Gibbs energy 415 surface (using function *fnGval*).

416 Deviations of densities and specific heats from IAPWS-95 are shown in Figure 4. As 417 shown in the panels on the left side, the test of the numerical method is successful. 418 Using IAPWS-95 sound speeds as the only high-pressure constraint on the equation 419 of state, IAPWS-95 densities and specific heats are recovered to better than parts 420 per million deviations with slightly larger deviations at the lowest temperatures. In 421 the middle panels, integration of sound speeds based on the previously reported 422 global polynomial representation gives results that agree with that analysis as 423 illustrated in figures 11 and 12 of [15]. Negative deviations of density (associated 424 with positive deviations of sound speeds relative to IAPWS-95) increase with 425 pressure for temperatures above 300 K. At low temperatures, where their 426 polynomial sound speed fit exhibits significant systematic deviations from data and 427 from predictions of IAPWS-95, density and specific heat deviations are larger. In 428 panels on the right side, deviations based on the *LBF* representation of sound speeds 429 are shown. Deviations from IAPWS-95 above 350 K are greater than those in the 430 middle panels as is expected based on the systematic sound speed misfits shown in 431 the middle panel of Figure 3. Below 300 K, the local basis function fit reverses the 432 sign of deviations from IAPWS-95 for both densities and specific heats in 433 comparison with the representation in [15] that did not adequately fit the data in 434 this regime.

435 In the lower panels of Figure 4, specific heats above 273 K show small deviations 436 from IAPWS-95 despite substantial changes in C_p with pressure. Since the pressure 437 dependence of specific heat is determined as a second derivative of volume with 438 respect to temperature (Equation 4), it is notable that the numerical analysis is fully 439 capable of extracting the correct pressure behavior for specific heat. Although 440 deviations from IAPWS-95 at the lowest temperature are larger than the plotted 441 limits shown in Figure 4, the absolute deviations are not so large compared to 442 experimental uncertainties for volumes and specific heats at low temperature and 443 high pressure.

Given the ease of equation of state construction demonstrated here, a collection of
models can be readily created based on differing *a priori* assumptions including: (1)

ensembles of solutions using different damping factors or regularization choices, (2)
ensembles based on analysis of many synthetic data sets with specified statistical
distributions of errors (a method of bootstrap statistical analysis), (3) ensembles of
results using sub-sets of data (jackknife statistics), (4) or through exploration of the
impact of systematic errors. Considerations of the spread in predictions under
these differing assumptions can serve to better illuminate uncertainties inherent in
the thermodynamic analyses.

453 To summarize the principal ideas articulated in this section, an easily executed 454 protocol allows laboratory measurements of sound speeds to be converted into an 455 equation of state description. The resulting Gibbs energy *LBF* representation is a 456 single numerical entity that provides an analytic description of all thermodynamic 457 properties. This differs from typically reported results where separate 458 parameterizations for sound speeds, densities, and specific heats are frequently 459 given. Implementation of this numerical framework is straightforward and 460 requires no specialized skill in finding appropriate basis functions. When IAPWS-95 461 derived sound speeds are treated as the only high-pressure "data", the resulting 462 equation of state for water reproduces IAPWS-95 to an accuracy measured in parts 463 per million.

464 3.3. The representation and modification of IAPWS using local basis functions

465 Analyses in this section are reproduced by executing the sections of the script

- 466 *IAPWS_fit_modify.* Since IAPWS-95 is parameterized as the Helmholtz energy (a
- 467 function of volume and temperature), the determination of properties at a specified
- 468 pressure requires a computationally slow numerical search. It is advantageous to
- 469 cast IAPWS-95 as a function of pressure and temperature, enabling faster
- 470 evaluations, and to modify IAPWS-95 at higher pressures without impacting the
- 471 accuracy of its predictions in the low-pressure regime where presumably accurate
- 472 measurements constrain the equation of state. In general, a process is needed to
- 473 allow assimilation of new observations in revised and comprehensive formulations.

474 Using function *IAPWS95*, which is a full implementation of the standard IAPWS-95 475 formulation, Gibbs free energies, specific volumes, and specific heats are determined 476 on a 450 by 400 grid of "control points". These have a linear spacing of control 477 points in pressure between 1 bar (0.1 MPa) and 100 MPa and are logarithmically 478 distributed to 300 GPa. The temperature control points are logarithmic from 240 K 479 to 20,000 K. Points lying in a low temperature and high-pressure regime (the regime 480 of solid stability where IAPWS-95 does not provide reasonable estimates) are 481 identified and excluded from the analysis and from plots of results. A region around 482 the critical point is also excluded, as are high temperature (>1000 K) properties 483 below 30 MPa. While these are important areas for fluids, the focus here is on the 484 higher-pressure behavior. A separate denser *LBF* representation plus near critical 485 point numerical formulations would allow a representation in that regime to 486 specified accuracy.

Evaluations using *IAPWS95* are computationally slow when pressure is provided as
an independent variable. To reduce the time required to execute this script, precomputed control points are provided in a separate file that is loaded by default.
The full calculation can be accomplished by uncommenting a line in the script.

An *LBF* representation for Gibbs energy was determined by applying the function *spgft* to the grid of the IAPWS-95 control points. Since gridded points in the regime
of ice are excluded, regularization of the solution is necessary in order to have a
numerically stable inversion. The *LBF* representation based on this inversion is
included with the supplemental materials and is available through call to the
function *fnIAPWSval*. The first section of the script follows steps used in creation of
this representation.

In the second section of the script, the accuracy and speed in execution of the local
basis function representation for IAPWS-95 is evaluated. Thermodynamic
properties at user-selected pressure and temperature points are determined using
the exact formulation and the local basis function representation of IAPWS-95. The

502 maximum deviations in density (less than 1 ppm), specific heat (~ppm), and sound

503 speed (~ 10 's ppm) are adequately small and occur near the high-pressure low-504 temperature boundary where the IAPWS-95 formalism fails. Thus, the LBF 505 representation can replace the full formulation with no functional loss of accuracy. 506 As documented in the script, the *LBF* version allows evaluation of points at specified 507 pressures and temperatures that can be three orders of magnitude faster than 508 execution of the full implementation since no numerical search is required. The size 509 of this local basis function representation (approximately 1 MB) is relatively small. 510 Even smaller models (using fewer control points) could be constructed that 511 reproduce IAPWS-95 to within specified tolerances to suit particular applications 512 that do not need the full accuracy or full range of pressures and temperatures.

513 In the next sections of the script, modifications of the local basis function 514 representation in one regime without changing the representation in another is 515 illustrated. Abramson and Brown [2] demonstrated that measured sound speeds 516 deviate in an essentially temperature-independent fashion from predictions of 517 IAPWS-95 in a pressure regime beyond 1 GPa. The sound speeds measured by 518 Decremps *et al.* [16] and Ashara *et al.* [17] confirmed a need for high pressure 519 modifications to IAPWS-95. Furthermore, as previously noted in [2], changes 520 relative to IAPWS-95 necessary to better fit sound speeds lead to better agreement 521 with the Hugoniot measurements reported in [18,19 20, 21].

522 Beginning in section three of the script, sound speeds predicted by IAPWS-95 are 523 integrated (using function *eqst*) and the resulting equation of state is shown to be in 524 parts-per-million agreement with the original. This establishes that the numerical 525 methods remain robust over a larger range in pressure (1 bar to 100 GPa) and 526 temperature (250 K to 10,000 K),

527 In section four, a model for smooth perturbations from IAPWS-95 of sound speeds

above 1 GPa serves as a convenient proxy for changes in the equation of state that

allow better matches to high pressure sound speed measurements and

530 determinations of densities and temperatures on the shock-wave Hugoniot.

531 Sound speed deviations from IAPWS-95 are decreased linearly to -4% between 1 532 and 10 GPa (measured sound speeds are less than IAPWS-95 predicted sound 533 speeds). Between 10 GPa and 40 GPa the deviations are further decreased to -5%. 534 Above 40 GPa the derivative with pressure of deviations changes sign and the total 535 deviation is about +7% at 100 GPa. The perturbed sound speed surface was 536 integrated from 1 GPa to 100 GPa using function *eqst*. A new *LBF* representation for 537 Gibbs energy was then created with IAPWS-95 values used below 1 GPa and the 538 modified values used at higher pressure. All thermodynamic properties are then 539 determined as appropriate derivatives of this modified *LBF* representation.

540 Deviations of the modified *LBF* representation from IAPWS-95 are illustrated in

541 Figure 5 (low pressure and temperature regime) and in Figure 6 (full range of

542 pressure and temperature). By construction, the modified representation remains

543 faithful to IAPWS-95 in the regime below 1 GPa and begins to deviate only at higher

544 pressures. The maximum deviation in density is about 6% near 50 GPa. Specific

heats are altered from IAPWS-95 by a few percent. Gibbs energy changes by up to 3

546 MJ/kg at the highest pressures.

547 In Figure 7 sound speeds measured in diamond anvil high pressure cells are 548 compared to predictions of the modified *LBF* representation. Data and calculated 549 isotherms are illustrated in the upper panel. The deviations of sound speed 550 measurements from the modified representation (lower panel) are generally 551 consistent with experimental scatter. The measurements of Ashara *et al.* [17] extend 552 to higher pressures but relied on an indirect method to determine pressure. They 553 exhibit greater scatter and have systematic deviations relative to the Abramson and 554 Brown [2] and the Decremps *et al.* [16] measurements.

In Figure 8, Hugoniot measurements and calculations based on IAPWS-95 and the
modified *LBF* representation are shown. The IAPWS-95 predicted Hugoniot
systematically deviates from data by up to 6% for pressures beyond 10 GPa. The
modified equation of state fits both Hugoniot densities and Hugoniot temperatures
within experimental uncertainties.

560 In summary, an *LBF* representation was created that duplicates the behavior of 561 IAPWS-95, the reference equation of state. This representation was then modified 562 to better match a selected set of measurements at higher pressures while 563 maintaining the accuracy of the predictions in a low-pressure regime. The modified 564 *LBF* representation for water is constructed using scripts provided in the 565 supplemental materials. Further modification of this equation of state is easily 566 accomplished on the basis of new or revised data or through use of different 567 assumptions concerning the weighting of experimental data.

568 4. Conclusions

The use of thermodynamic representations based on standardized local basis
functions was demonstrated; b spline formalism is a natural choice for such
representations. A flexible numerical framework was presented that allows accurate
evaluation of thermodynamic properties as a function of pressure, temperature, and
composition. In order to adequately interpolate and extrapolate sparse constraints
provided by scattered measurements, numerical regularization and consideration of
limiting behavior is necessary.

The communication and utilization of a local basis function (*LBF*) representation of thermodynamic properties is straightforward. Having a common format for the representation with evaluations based on standardized algorithms means that any equation of state can be reported to arbitrary precision and that the representation is usable without obtaining or writing specialized computer functions that are applicable to only a single material.

Evaluations of *LBF* representations, parameterized with pressure and temperature
as the independent variables, are numerically fast compared to the search required
when evaluating formulations based on volume and temperature. The conversion
between representations in volume-temperature to pressure-temperature is
straightforward and was demonstrated.

587 When provided with exact properties to fit (*i.e.* creating a representation of an588 existing equation of state), the local basis function formulation can match the

- 589 original source to arbitrary precision. More importantly, *LBF* representations can
- be easily modified in order to better represent newer data in extended regimes of
- 591 pressure and temperature. The methods were applied to the representation of
- sound speeds of water as a function of pressure and temperature. From this
- representation, an accurate equation of state predicting all thermodynamic
- 594 properties was constructed. This *LBF* representation reproduces predictions of
- 595 IAPWS-95 in a regime of pressure under 1 GPa and matches extant higher-pressure
- sound speed and Hugoniot measurements extending almost to 100 GPa.

597 Acknowledgements

- 598 This work was partially funded by NASA Outer Planets Research grant NNX13AL23G
- and by the Icy Worlds node of NASA's Astrobiology Institute (08-NAI5-0021).
- 600 Longstanding consultations with Ken Creager are acknowledged and appreciated. S.
- 601 Vance and O. Bollengier helped improving the focus of this effort. E. Abramson is
- 602 thanked for his comments and suggestions on the manuscript.

603 References Cited

- 605 [1] R. Span, Multiparameter Equations of State: An Accurate Source of
- 606 Thermodynamic Property Data, Springer, Berlin, 2000.
- 607 [2] E.H. Abramson, J.M. Brown, Equation of state of water based on speeds of sound
 608 measured in the diamond-anvil cell. Geochim. Cosmochim. Acta 68 (2004) 1827–
 609 1835.
- 610 [3] W. Wagner, and A. Pruss, The IAPWS Formulation (1995) for the thermodynamic
- 611 properties of ordinary water substance for general and scientific use. J. Phys. Chem.
 612 Ref. Data 31 (2002) 387–535.
- 613 [4] R.C. Phutela, K.S. Pitzer, Densities and apparent molar volumes of aqueous
- 614 magnesium sulfate and sodium sulfate to 473 K and 100 bar, J. Chem. Eng. Data 31
- 615 (1986) 320–327.
- 616 [5] D.G. Archer, J.A. Rard, Isopiestic Investigation of the Osmotic and Activity
- 617 Coefficients of Aqueous MgSO₄ and the Solubility of MgSO₄ -7H₂O(cr) at 298.15 K:
- 618 Thermodynamic Properties of the MgSO₄ + H₂O System to 440 K, J. Chem. Eng. Data
- 619 43 (1998) 791-806.
- 620 [6] G.M. Marion, J.S. Kargel, D.C. Catling, S.D. Jakubowski, Effects of pressure on
- 621 aqueous chemical equilibria at subzero temperatures with applications to Europa.
- 622 Geochim. Cosmochim. Acta 69 (2005) 259–274.

- 623 [7] S. Vance, J.M. Brown, Thermodynamic properties of aqueous MgSO₄ to 800 MPa
- at temperatures for -20 to 100°C and concentrations to 2.5 mol/kg from sound
- speeds with applications to icy world oceans, Geochem. Cosmo. Acta 110 (2013)176–189.
- 627 [8] T. Driesner, The system H₂O–NaCl. Part II: Correlations for molar volume,
- 628 enthalpy, and isobaric heat capacity from 0 to 1000° C, 1 to 5000 bar, and 0 to 1 X_{NaCl} 629 Geochim. Cosmochim. Acta 71 (2007) 4902–4919.
- 630 [9] C. de Boor, A Practical Guide to Splines, revised ed., Springer, Berlin, 2001.
- [10] R.C. Aster, B. Borchers, and C.H. Thurber, Parameter Estimation and Inverse
 Problems, second ed., Elsevier, New York, 2012.
- 633 [11] W. Wagner, J. R. Cooper, A. Dittmann, J. Kijima, H.J. Kretzschmar, A. Kruse, R.
- Mares, K. Oguchi, H. Sato, I. Stocker, O. Sifner, Y. Takaishi, I. Tanishita, J. Trubenbach,
- 635T. Willkommen, The IAPWS industrial formulation 1997 for the thermodynamic
- 636 properties of water and steam. J. Eng. Gas Turbines Power 122 (2000) 150-182.
- [12] F.D. Stacey, and P.M. Davis, High pressure equations of state with applications
 to the lower mantle and core, Phys. Earth Planet. Inter. 142 (2004) 137–184.
- 639 [13] T. Sun, S.N. Biswas, N.J. Trappeniers, C.A. Ten Seldam, Acoustic and
- 640 Thermodynamic Properties of Methanol from 273 to 333 K and at Pressures to 280
- 641 MPa, J. Chem. Eng. Data 33 (1988) 395-398.
- 642 [14] J.M. Zaug, L.J. Slutsky, J.M. Brown, Equilibrium properties and structural 643 relaxation in methanol to 30.4 GPa, J. Phys. Chem. 98 (1994) 6008-6016.
- 644 [15] C.-W. Lin, J.P.M. Trusler, The speed of sound and derived thermodynamic
- 645 properties of pure water at temperatures between 253 and 473 K and at pressures
- 646 up to 400 MPa, J. Chem. Phys. 136 (2012) 094511; doi: 10.1063/1.3688054
- 647 [16] F. Decremps, F. Datchi, A. Polian, Hypersonic velocity measurement using
- 648 Brillouin scattering technique. Application to water under high pressure and 649 temperature, Ultrasonics 44, (2006) 1495–1498.
- 650 [17] Y. Asahara, M. Murakami, Y. Ohishi, N. Hirao, K. Hirose, Sound velocity
- 651 measurement in liquid water up to 25 GPa and 900 K: Implications for densities of
- water at lower mantle conditions, Earth Planet. Sci. Lett. 289 (2010) 479–485.
- [18] S. Marsh, LASL Shock Hugoniot Data, University of California Press, Berkeley,1980.
- 655 [19] A.C. Mitchell, W.J. Nellis, Equation of state and electrical conductivity of water
- and ammonia shocked to the 100 GPa (1 Mbar) pressure range, J. Chem. Phys. 76(1982) 6273–6281.
- 658 [20] S.B. Kormer, Optical study of the characteristics of shock compressed
- condensed dielectrics, Sov. Phys. Uspekhi. 11 (1968) 229–254.
- 660 [21] G.A. Lyzenga, T.J. Ahrens, W.J. Nellis, A.C. Mitchell, The temperature of shock-
- 661 compressed water, J. Chem. Phys. 76 (1982) 6282–6286.

663 **Appendix 1 b-spline details and methods to create thermodynamic representations** 664 The following is an overview of necessary b splines fundamentals (based on de Boor 665 [9]) that are applicable to the thermodynamic analysis. For *n* control points and a 666 knot sequence ($t_1, t_2, ..., t_{n+k}$), where *k* is the order of the b-spline and (*k-1*) is the 667 "degree" of the associated polynomials, a recursion relationship (equation A1) 668 defines the basis function, $B_{i,k}(x)$, where *x* (the independent variable) lies between 669 the first and last knot and the zeroth order basis function $B_{i,0}$ is equal to 1.

670
$$B_{j,k}(x) = \frac{x - t_j}{t_{j+k-1} - t_j} B_{j,k-1}(x) + \frac{t_{j+k} - x}{t_{j+k} - t_{j+1}} B_{j+1,k-1}(x)$$
A1

671 Each basis function, $B_{j,k}(x)$, is non-zero only for x within the interval between t_j and 672 t_{j+k} . The basis function $B_{j,k}$ evaluated at collection of locations x forms a matrix of 673 values that depend on the knot sequence (chosen intervals for polynomials), the 674 chosen order of the fit (the degree of the polynomial) and where the spline is to be 675 evaluated.

676 The i^{th} model value, z_{i} , evaluated at point x_i , is then calculated as a linear 677 combination of basis functions with coefficients a_j .

$$\overline{z_i = \sum_{j=1}^n B_{j,k}(x_i) a_j}$$
 A2

679 Since the basis functions defined in equation A1, are polynomials, derivative and 680 integrals of $B_{j,k}$ are analytic and straightforward in construction. Derivatives and 681 integrals of z(x) are determined using the coefficients a_j and the integrated or 682 differentiated values of the basis functions, $B_{j,k}(x)$.

The spline order determines how many derivatives are continuous at interval
boundaries. By repeating a knot, the number of continuous derivatives is reduced by
one. Thus, "knot multiplicity" allows specified control of local discontinuities in
interior of a spline representation. Multiplicity of knots equal to the spline order
(*k-fold* multiplicity) at both ends of a knot sequence allows full freedom in fitting a
spline to arbitrary data.

- A surface of two (or more) independent variables, z(x,y) (in the case of
- 690 thermodynamic representations, *x* and *y* are usually either pressure and
- temperature or volume and temperature) can be constructed from a tensor b splinerepresentation:

693
$$z_{ij} = \sum_{k=1}^{n} \sum_{l=1}^{m} B_{k,q}(x) C_{l,p}(y) a_{k,l}$$
A3

694 where $C_{l,p}$ are basis functions associated with the order and knot sequence for the 695 second independent variable. In this case, the model coefficients, $a_{k,l}$, form a two 696 dimensional array. Additional independent variables (for example, a compositional 697 variable) can be incorporated following the same steps – add an additional 698 summation including the product of basis functions associated with order and knots 699 sequence for each new independent variable and increase the dimensionality of the 697 array of coefficients.

- The matrixes containing basis function representations, *B* and *C*, can be re-mapped
 into a single matrix *D* so that the tensor spline representation given in equation A3
 can be written as a tensor multiplication:
- 704 $z_{ij}=D_{ijkl}a_{kl}$ A4

with the implied summations based on the repeated index convention. This can befurther re-mapped into a single matrix multiplication:

707

 $z_i = D_{ii}a_i$

A5

This formalism can be extended to represent thermodynamic surfaces of any
dimensionality. The primary "cost" of additional independent variables is in the
increasing size of the basis function matrix *D*. However, since this matrix is sparse
(because basis functions are non-zero for only a small number of knot intervals
around each data point) the problem remains numerically tractable.

713 Having chosen the spline order and knot sequence and after determining basis

function values (*D*) at data locations, *x*, *y*, the spline coefficients, *a_j*, can be

determined for an over-determined problem through solution of the normal

716 equations:

717

 $a=D^{-1}z$

A6

However, since data are not likely to fully span the parameter space (*ie.,* no data may
exist within some knot intervals), the problem defined by equation A6 may not be
well conditioned and is likely rank deficient.

The determination of spline coefficients for poorly conditioned systems follows

from standard parameter estimation methods [10] where "regularization"

introduces additional model constraints. A simple and standard regularization is to

assert that models be "smooth" by some physically relevant measure. In addition,

constraints on asymptotic or limiting behavior can regularize the representation in

a region of extrapolation. The general approach for a linear system with

727 regularization is:

728

 $a = \begin{bmatrix} D \\ \lambda L \end{bmatrix}^{-1} \begin{bmatrix} Z \\ 0 \end{bmatrix}$ A7

where *D* contains basis functions that relate observations *z* to model parameters *a*, and the matrix *L* contains basis functions for the regularization and λ is the weighting factor that balances the trade-off between fitting data and meeting the criteria of the regularization. For regularization based on model smoothness, *L* contains second derivative basis functions. Increasing λ leads to more misfit of the data but provides a smoother representation.

If the relationships between model parameters and data are non-linear, the problemis recast as an iterative solution to find a better set of model parameters:

737
$$\delta a = \begin{bmatrix} C \\ \lambda Q \end{bmatrix}^{-1} \begin{bmatrix} z - z_o \\ -\lambda Q a_o \end{bmatrix}$$
 A8

where matrixes *C* and *Q* contain elements that are derivatives with respect to the
model coefficients *a* of the elements in matrixes *D* and *L* from equation A7. Subscript

o refers to values associated with a previously estimated model.

742 Appendix 2: Numerical Implementation of Local Basis Function Representations

All numerical analysis is implemented within the MATLAB and familiarity with this

environment is assumed. The "Curve Fitting Toolbox" (an optional toolbox) is

required; it contains necessary utility functions for splines. The "Parallel Toolbox" is

optional. However, if a multicore computer is used, execution times for some

algorithms are significantly reduced. A computer with at least 16 GB of RAM is

- 748 required in order to execute the example scripts.
- The b spline routines are based on published algorithms [9]. Additional functions
- 750 specific for thermodynamic representations are provided. The conversion of these

functions to other common numerical environments (ie, FORTRAN, Python, or

752 Mathematica) is relatively straightforward. Within the MATLAB environment, the

command "help functionname" provides documentation of what each function does

and how it is invoked. MKS units are used with pressures given in MPa.

755 Functions Provided in the MATLAB Curve Fitting Toolbox

The following functions provided in the MATLAB Curve Fitting Toolbox are required.

Additional spline utility functions are called from within these functions. A short

- 758 explanation is provided here.
- *fnval.m* (function evaluation) evaluates splines (tensor or univariant) at specified
- points the input can be in the form of a cell (data on a grid) or as a matrix
- 761 (scattered data points). Data at gridded locations are evaluated using the b spline
- representation. Since it is computationally efficient to use "piece-wise polynomial"
- 763 (pp) splines for evaluations at scattered data sites, *fnval* converts b-form to pp-form
- if scattered data points are to be evaluated.
- *fnder.m* (function **der**ivative) returns a spline representing the specified derivative
- 766 (-1 for the integral) of an input spline.

- *spcol.m* (**sp**line **col**location) returns values and derivatives of b spline basis
- functions evaluated at specified data locations for a given knot sequence and splineorder.
- 770 *apknts.m* (**ap**propriate **kn**ot**s**) and *opknts.m* (**op**timal **kn**ot**s**) are functions to
- determine appropriate knot locations given a spline order and control point
- 772 locations.
- *fnplt.m* (function **plot**) is an easy-to-use plotting function for splines.
- 774 Functions Provided for Thermodynamic Analysis

An overview of the thermodynamic functions is provided here. Within MATLAB,

typing "help functionname" where functionname is one of the following, provides

more information about required input variables and output variables. Separate live

- scripts (*.mlx* files) show how to use these functions.
- *IAPWS.m* : a direct implementation of the IAPWS-95 formula for the equation of
- state of water. Input can be cells (for results on a specified grid of pressures and
- temperatures) or matrixes (for results at scattered data sites) of pressure and
- temperature or densities and temperature. The input thermodynamic state can be
- either pressure and temperature or density and temperature. Values calculated at
- specified pressures require a numerically costly search while calculations at
- 785 specified densities are much faster.

fnIAPWSval.m returns thermodynamic properties based on an accurate local basis function representation of IAPWS. Input can be cells (for results on a specified grid of pressures and temperatures) or matrixes (for results at scattered data sites) of pressure and temperature. This function loads a spline representation contained in

- the file *IAPWS_sp_strct.mat*.
- *fnGval.m* returns thermodynamic properties based on a generic local basis function
- representation of Gibbs free energy. An input of either a cell (gridded data) or a
- 793 matrix (scattered data sites) of pressures, temperatures, and (optional)
- compositions is used in the evaluation. MKS units required.

- *spdft.m* (**sp**line **d**ata **fit**ter) is a versatile fitting function that provides a solution to
- equation A7. It returns a local basis function representation of a surface as a
- function of one, two or three independent variables. Data to be represented can be
- scattered or gridded. The spacing of control points, the damping, the distribution of
- regularization points, the order of the spline, and the derivatives used in
- 800 regularization are adjustable.
- 801 *spgft.m* (**sp**line **G**ibbs energy **fit**ter) returns a local basis function representation of
- 802 Gibbs energy as an implementation of equation 2. The input includes gridded Gibbs
- 803 energies, densities and specific heats with regularization based on specified
- 804 derivatives of G. Gridded values can be based on separate *LBF* fits to scattered
- 805 measurements. MKS units are required with pressures in MPa.
- 806 *eqst.m* (**eq**uation of **st**ate integration) numerically integrates sound speeds as a
- 807 function of pressure and temperature to return densities, specific heats and Gibbs
- 808 energy. It is a "predictor-corrector" implementation for solution of equations 3, 4,
- and 5. The standard inputs are sound speeds on a grid of pressures and
- 810 temperatures with densities, specific heats, and Gibbs energies specified at the
- 811 lowest pressure. Alternatively, specific heats given on the full grid of pressures and
- 812 temperatures forces the output to be consistent with these values. Several methods
- are implemented within this function to reduce numerical instabilities. MKS units
- 814 are required with pressure in MPa.
- 815 *mk_mask4Gspline.m* returns a matrix based on an input of gridded pressures and
- 816 temperatures. Matrix elements are 1 if a P-T point is in a physically plausible range
- 817 for water (*ie.* where IAPWS-95 returns finite and reasonable values). Matrix
- 818 elements are set to NaN (not a number) otherwise and at locations near the critical
- 819 point for water.
- 820 *CalcWaterHug.m* uses a local basis function representation for the equation of state
- 821 in order to solve the Rankine-Hugoniot equations. Densities and temperatures are
- 822 predicted for specified Hugoniot pressures.

823 *therm_surf.m* provides a convenient plotting tool for the given examples. It plots 3D

824 perspectives of thermodynamic properties as a function of temperature and825 pressure.

826 Nested within *spdft.m* and *spgft.m* are two key sub-functions.

827 *makeCmn.m* remaps the tensor product (shown in equations A3 and A4) into the

828 matrix given in equation A5. The result is a sparse matrix representing basis

829 function for either two or three independent variables.

830 *area_wt.m* provides relative weights for regularization points proportional to

831 fractional volume within the grid that each point represents. In the case of a non-

832 uniform distribution of knots and regularization points this volume weighting

enhances the numerical stability of the inverse problem (equation A7).

A feature of modern numerical environments is the availability of "structures" – a

single variable name that contains heterogeneous information. The convention

used here is that a variable name beginning with "sp" denotes a structure containing

a b spline representation. For example, "sp_rho" is an appropriate name for a

838 structure containing the b spline representation for density. The standard MATLAB

839 format for a spline structure contains the following information.

840	sp_rho =
841	form: 'B-'
842	knots: {[1x36 double] [1x26 double]}
843	number: [30 20]
844	order: [6 6]
845	dim: 1
846	coefs: [30x20 double]
847	
848	where, in this case, the b spline has 30 pressure control points and 20 temperature
849	control points using a 6 th order (in both P and T) representation. The length of each

850 knot sequence is equal to the sum of the spline order and the number of control

851 points.

852

853 The function *spdft* appends a list of data and fit statistics to the spline structure. In

the example above, the additional structure within sp_rho titled "Data" has contents:

855	sp_rho.Data=
856	PT: [263x2 double]
857	rho: [263x1 double]
858	del_rho: [263x1 double]
859	TPc: {[1x30 double] [1x20 double]}
860	lam: [1 1]
861	RegFac: [4 4]
862	rms: 2.3317e-05
863	devs: [263x1 double]
864	chisqr: 1.446

which are a list of the input pressures and temperatures in a 263 by 2 matrix, the

data values (263 points), a list of data uncertainties, "Control points" used to

867 construct the spline, the damping parameter used in constructing the fit, the number

868 of regularization points between each control point, the rms misfit in units of the

869 data, a list of deviations between the fit and the data, and the reduced χ^2 misfit.

870 Thus, a single entity, containing all relevant information, is packaged and easily

871 saved and transported.

872 Example Scripts

873 "Live" scripts are provided that offer tutorial assistance in using the basic

874 thermodynamic tools and give demonstrations of the methods to represent

875 thermodynamic surfaces. Sections of each script can be executed sequentially by

876 clicking on the side-bar. However, resulting text and plotting output from previous

877 sessions are saved in the file. The pdf versions of the live scripts also contain the full

878 record of calculations and figures.

- 879 The script *FitIndependentVariablesExamples* provides the basic tutorial on the use of
- the function *spdft* to fit surfaces to arbitrary data with one, two, or three
- 881 independent variables. The features of the function are demonstrated in application
- to several different data sets.
- 883 The script *WaterSoundSpeedFitting* has sections that reproduce the analysis
- discussed in: "Reanalysis of Sound Speed Measurements".
- 885 The script *IAPWS_fit_modify* has sections that reproduce the analysis discussed in
- 886 "The Representation and Modification of IAPWS using Local Basis Functions".

- **Table 1.** Relationship of thermodynamic quantities to derivatives of Gibbs energy.
- 889 Subscripts on G denote the appropriate partial derivative with p for pressure, t for
- 890 temperature, and *m* for the chemical composition variable.
- 891

Thermodynamic Quantity	Symbol	Derivatives w.r.t G
Specific volume	V	G_p
(inverse of density)		
Specific Heat	C_p	$-G_{2t} T$
Isothermal Bulk Modulus	Kt	$-G_p G_{2p}^{-1}$
Thermal expansivity	α	$G_{pt} G_p^{-1}$
Adiabatic Bulk Modulus	Ks	$(K_t^{-1} - \alpha^2 VTC_p^{-1})^{-1}$
Sound Speed (squared)	<i>u</i> ²	$G_p^2 G_{2t}$
		$\overline{G_{pt}^2 - G_{2t}G_{2p}}$
Chemical Potential	μ	G_m





Figure 1. Local basis function fits (solid lines) to data (circles) using function *spdft*.

897 The "data" are arbitrary and dimensionless with an assigned uncertainty of 0.05 and

extend over an independent variable range from 0 to 60. The representations
extend to 100 using a fourth-order spline that is regularized by minimizing the

- 900 second derivative. The six panels illustrate the effect of different values for the
- 901 damping parameter λ , The *rms* error and reduced χ^2 are given in each panel. A large
- 902 value of damping forces a linear fit to data. $\lambda = 1$ gives an *rms* misfit and χ^2 consistent
- 903 with an acceptable fit to the data. Values of damping that are too small lead to
- 904 overfitting of the data. The representations become linear in the regime of
- 905 extrapolation.



908 Figure 2. Sound speeds for water as a function of temperature and pressure. The

909 surface is based on predictions using IAPWS-95 [3]. Filled circles are the

910 measurements of Lin and Trusler [15]. Solid line gives the phase boundary between

911 water and ice.





Figure 3. Deviations of sound speed measurements reported in [15] from three

915 representations for sound speeds. The panel on the left gives deviations from

916 IAPWS-95. The middle panel gives deviations from the polynomial fit reported in

917 [15]. The panel on the right gives deviations from the local basis function

- 918 representation that was required to fit measurements within reported experimental
- 919 uncertainties.





922 Figure 4. Equation of state deviations from IAPWS-95 based on integration of three 923 representations for sound speeds. Density deviations are shown in the upper panels 924 and specific heat deviations in the lower panels. Isobars are plotted as a function of 925 temperature with solid lines for 0.1 MPa, dashed lines for 100 MPa, short dash lines for 200 MPa, dot-dash lines for 300 MPa, and circles for 400 MPa. The deviation 926 927 panels on the left side test the numerical framework through integration of IAPWS 928 sound speeds. Note that scales for these panels are expanded by factor of ten 929 relative to the remaining panels. The middle panels give deviations from IAPWS

based on integration of the polynomial representation of Lin and Trusler [15].

Panels on the rights are deviations from IAPWS based on integration of the *LBF* fit to

the Lin and Trusler [15] sound speed measurements.



Figure 5. Deviations of selected thermodynamic properties from IAPWS-95 using 934 935 the modified *LBF* representation for Gibbs energy over a limited range of pressures 936 and temperatures. Density deviations are shown in the upper left panel, specific 937 heat deviations in the upper right panel, and Gibbs energy in the lower right panel. 938 Sound speed deviations are in the lower left panel. By construction, the modified representation matches IAPWS-95 below 1 GPa and begins to deviate at higher 939 940 pressures. Slight edge instabilities for specific heats at low temperatures of $\sim 0.1\%$ 941 extend beyond plotting limits of 0.04%. The small excluded regime near T_c is visible 942 as a notch in the surface.



Figure 6. Deviations of selected thermodynamic properties from IAPWS-95 using

945 the modified *LBF* representation for Gibbs energy over the entire range of pressures

and temperatures. Density deviations are shown in the upper left panel, specific

947 heat deviations in the upper right panel, and Gibbs energy in the lower right panel.

948 The temperature-independent correction to IAPWS-95 sound speeds that was used

949 to construct the modified equation of state is shown in the lower left panel.



Figure 7. Sound speeds as a function of pressure and temperature. Upper panel:

sound speeds measured in the diamond anvil cell and isotherms (400, 500, 750 and

953 1000 K) predicted by the local basis function representation for Gibbs energy.

Lower panel: deviations of measured sound speeds from the local basis function

- representation. Open squares are from Abramson and Brown [2] filled circles are
- 956 Decremps *et al.* [16], diamonds are Ashara *et al.* [17]. The sound speed
- 957 measurements extend from 400 K to nearly 1000 K.



Figure 8. Upper panel: Hugoniot densities (circles) are from Marsh [18] (below 45
GPa) and from Mitchell and Nellis [19] (above 45 GPa). The solid line is based on
the *LBF* representation. The dashed line for Hugoniot densities is based on the
equation of state given by IAPWS-95. Lower panel: Measured Hugoniot
temperatures and uncertainties by Kormer [20] (circles) and Lyzenga *et al.* [21]
(triangles) are shown along with temperatures predicted using the local basis
function representation for water.