

¹ **Synoptic weather patterns associated with heavy La**
² **Niña rainfall in the southwest United States**

N. Feldl,¹ and G. H. Roe,²

N. Feldl, Department of Atmospheric Sciences, University of Washington, 408 ATG Building,
Seattle, WA 98195-1640, USA. (feldl@u.washington.edu)

G. H. Roe, Department of Earth and Space Sciences, University of Washington, 070 Johnson
Hall, Seattle, WA 98195-1310, USA.

¹Department of Atmospheric Sciences,
University of Washington, Seattle,
Washington, USA.

²Department of Earth and Space Sciences,
University of Washington, Seattle,
Washington, USA.

3 La Niña winters exhibit significant local enhancement of heavy rainfall in
4 the southwest United States, relative to El Niño. This contrasts with aver-
5 age daily rainfall intensity, which is instead increased during El Niño. The
6 present study explores the relationship between heavy rainfall and associ-
7 ated atmospheric circulation patterns. Using composite analysis, we find that
8 heavy rainfall events in the southwest arise from the presence of a persistent
9 offshore trough and simultaneous emplacement of a strong source of subtrop-
10 ical water vapor. Greater intensity of these storms during La Niña is con-
11 sistent with a deeper offshore trough leading to strengthened moisture fluxes.
12 Composite circulation patterns survive amongst a large degree of synoptic
13 variability, highlighting the importance of understanding this variability when
14 making regional climate predictions.

1. Introduction

15 Understanding controls on heavy rainfall is a principle goal of climate impacts research,
16 and for assessing natural hazard risk. One particular challenge centers on how such rainfall
17 events respond to variability in large-scale atmospheric circulation. A wide range of space
18 and time scales must be tackled. The frequency, intensity, and spatial extent of rainfall
19 all vary, and large-scale circulation depends on distant forcing. For instance, changes in
20 tropical Pacific Ocean heating and convection comprising El Niño Southern Oscillation
21 (ENSO) are associated with far-reaching temperature and rainfall anomalies [*Trenberth*
22 *et al.*, 1998, and references therein]. Linking climate variability and associated regional
23 weather impacts is of great public interest in affected regions (such as the southwest
24 United States in the case of ENSO), and is particularly relevant to societal issues such as
25 flood and landslide mitigation and reservoir management.

26 Using ENSO as a case study of changes in midlatitude atmospheric circulation patterns,
27 *Feldl and Roe* [2010] (hereafter, FR10) find that, consistent with conventional wisdom,
28 wintertime mean daily rainfall intensity is larger during El Niño relative to La Niña in the
29 southwest (vice versa in the northwest). However, contrary to expectations, FR10 also
30 demonstrate that extreme southwest rainfall is locally enhanced during La Niña, and this
31 result is significant in the regional average. In the present study we seek to understand
32 the causes by exploring synoptic conditions associated with heavy ENSO rainfall in the
33 American West.

34 We consider daily rainfall over the southwest (SW) United States defined by 40-49.5°N,
35 116-126.25°W. Fig. 1 reproduces the findings of FR10 but for a narrowed coastal re-

36 gion. This region exhibits strikingly coherent spatial rainfall patterns in the mean ENSO
37 response; in particular, the northern boundary coincides with the northern extent of in-
38 creases in mean daily rainfall intensity during El Niño. In addition to considering the
39 full range of daily rainfall rates, we apply statistical tests to evaluate the regional mean
40 of aggregate rainfall exceeding a given daily threshold. In the northwest (NW), for all
41 thresholds considered, heavy rainfall conforms to the expected picture of increases during
42 La Niña relative to El Niño. However in the SW (Fig. 1a), La Niña rainfall overtakes
43 El Niño as the threshold is raised. For thresholds exceeding 18 mm/day, La Niña actu-
44 ally exhibits a statistically significant increase in mean daily rainfall intensity in the SW,
45 relative to El Niño.

46 Fig. 1b shows that diffuse increases in heavy La Niña rainfall over El Niño are apparent
47 across the SW, although to a lesser degree in southernmost California. Much of the spatial
48 structure of rainfall differences is likely determined by the relationship between mean wind
49 direction and mountain range orientation, with flow perpendicular to the range leading
50 to lifting along the windward flank and orographic rainfall. We emphasize this is an
51 intensity rather than frequency signal, and El Niño winters do indeed experience more
52 frequent heavy SW rainfall, for all thresholds [FR10]. Reconciling changes in frequency
53 and intensity requires a redistribution of the fraction of aggregate rainfall events per
54 threshold, with La Niña receiving a larger proportion of its total rainy days from extreme
55 rainfall, compared to El Niño.

2. Data and Methods

56 We seek to understand prevailing synoptic conditions during locally heavy wintertime
57 (November through March) El Niño and La Niña rainfall. Three datasets are used:

58 1. Rainfall is obtained from CPC Unified Rain Gauge Database of gridded ($0.25^\circ \times$
59 0.25°) daily station data for 1948-1998 [*Higgins et al.*, 2000b]. Rainfall rates represent an
60 average over each grid cell.

61 2. Strong ENSO events are selected based on the wintertime SST anomaly within the
62 Niño 3.4 region (5°N - 5°S , 170 - 120°W) [*Trenberth*, 1997] exceeding $\pm 1\text{K}$. While much of
63 the literature refers to warm and cold ENSO events, we retain El Niño and La Niña
64 nomenclature.

65 3. Daily synoptic fields other than rainfall are from NCEP/NCAR Reanalysis [*Kalnay*
66 *et al.*, 1996] for the same period as the rainfall.

67 We index all La Niña and El Niño days which record heavy rainfall (defined as ≥ 20
68 mm/day) in the SW (for at least one grid cell). For La Niña, 384 days fit this criteria,
69 or 34% of the total number of La Niña days in our 50-year window, and for El Niño, 526
70 days (43%). In Section 3.1 we present composites of synoptic state for heavy El Niño and
71 La Niña rainfall in the SW. All figures show unweighted composites, or in other words,
72 composites in which each day is weighted equally. In Section 3.2 we discuss variability
73 amongst composite members. Results are summarized in Section 4.

3. Results

3.1. Composite Analysis

74 Figs. 2a-b show mean daily 500 hPa geopotential height and relative vorticity for El
75 Niño and La Niña storms. The relative vorticity reflects local flow curvature and high-
76 lights the position of the offshore trough. This mean circulation demonstrates character-
77 istic ENSO features. For instance, observed ridging of 500 hPa heights over the Rockies
78 and associated split flow is typical of El Niño conditions [*DeWeaver and Nigam, 2002*].
79 Likewise, an eastward extension and poleward shift of the La Niña jet is apparent from
80 the shift of the Pacific vorticity couplet (Fig. 2b).

81 Moreover, we note that relative vorticity during La Niña storms (Fig. 2b) is enhanced
82 compared to El Niño (Fig. 2a), suggestive of a deeper trough. SW rainfall is too distant
83 to be attributed directly to large-scale vorticity advection. Rather other factors, such as
84 frontal processes, must contribute. Interestingly, there is a hint of a zone of enhanced
85 relative vorticity persisting across the southern U.S. during El Niño storms. This is
86 consistent with a westward extension of the downstream trough, and may be related to
87 far-south increases in heavy El Niño rainfall (Fig. 1b).

88 Figs. 2c-d show composite 500 hPa geopotential height anomalies for heavy La Niña and
89 El Niño rainfall. The anomalies are calculated by subtracting the 30-day running mean,
90 which removes seasonal changes in background state that occur over the five-month winter.
91 We see that, for both El Niño and La Niña, heavy SW rainfall is associated with a large
92 offshore trough. These anomalies are statistically different from the mean field according
93 to the t test at the 5% significance level (allowing for a 5% chance of identifying an anomaly
94 where none exists). During La Niña the maximum anomaly is displaced northwards, but

95 is deeper (17 m on average) and so it also extends farther south. This deep offshore trough
96 during La Niña storms in the SW is consistent with the enhanced vorticity field (Fig. 2b).

97 The 500 hPa trough is particularly persistent during heavy La Niña rainfall in the SW.
98 We perform lagged composite analyses to evaluate persistence (not shown), and find that
99 the anomaly survives for several days before and after heavy rainfall events. For instance,
100 the e-folding timescale indicates the anomaly on average persists for 5 days after heavy
101 rainfall. In comparison, the e-folding decay time of an average 500 hPa anomaly (on all
102 days) is only 3.4 days for a sample winter. Both of these decay times were calculated at
103 the location of the composite trough maximum.

104 Some insights into differences between El Niño and La Niña rainfall are apparent
105 from composites of atmospheric moisture content and advection. Fig. 3 shows column-
106 integrated water vapor and 850 hPa wind for heavy rainy days (left) and all precipitating
107 days (right) in the SW. Overall, heavy rainfall during both El Niño and La Niña is as-
108 sociated with enhanced offshore moisture, compared to all precipitating days. However
109 the subtropical moisture reservoir is also slightly enhanced (in both area and magnitude)
110 during heavy La Niña rainfall relative to El Niño. In addition, 500-1000 hPa geopotential
111 thickness (not shown) indicates a warmer lower troposphere during La Niña storms and
112 thus a higher moisture-carrying capacity.

113 Fig. 3 also shows spatial variability in the strength and direction of mean column-
114 integrated moisture flux into the SW (see compass plots). The black arrow in the com-
115 pass plots represents moisture flux averaged over a SW coastal subregion. Focusing on
116 heavy rainfall, on average we see a more southwesterly moisture flux during El Niño,

117 consistent with general ENSO conditions (247° compared to 267° during La Niña), and
118 strengthened moisture fluxes during La Niña relative to El Niño ($127 \text{ kg}(\text{ms})^{-1}$ compared
119 to $110 \text{ kg}(\text{ms})^{-1}$). In both cases the moisture fluxes are considerably larger than the
120 climatological average or the ENSO average when the full range of rainfall is retained.

121 From Fig. 3 the role of orographic enhancement on local rainfall patterns becomes
122 apparent. Regions associated with flow impinging on the windward flank of mountain
123 ranges during La Niña (e.g. Sierra Nevada) show increased heavy La Niña rainfall (c.f. Fig.
124 1b). Whereas mountain ranges instead oriented perpendicular to the more southwesterly
125 El Niño winds (e.g. Transverse Ranges of Southern California) demonstrate increased
126 heavy El Niño rainfall. Thus a combination of large-scale weather patterns and local
127 effects determines the ENSO rainfall response.

128 In summary of the compositing, heavy SW rainfall events arise from the presence of a
129 persistent offshore trough and simultaneous emplacement of a strong source of subtropical
130 water vapor. Greater intensity of these storms during La Niña is consistent with a deeper
131 offshore trough leading to enhanced (and more westerly) moisture flux. This mechanism is
132 comparable to the one proposed by *Higgins et al.* [2000a] to explain extreme rainfall events
133 in the western U.S. during neutral years preceding El Niño. However overall similarities
134 in moisture content for Figs. 3a and 3c suggest mean flow differences may in fact be of
135 greater importance than moisture differences for heavy rainfall.

3.2. Variability

136 Observed superposition of moisture and circulation anomalies shows some similarity to
137 classic Pineapple Express events, a synoptic description of intensely-precipitating storms

138 that impinge on the West Coast, originating as warm and wet air masses transported from
139 the tropics near Hawaii [Dettinger, 2004]. However the well-defined and, in particular,
140 narrow plume of moisture which characterizes an atmospheric river is absent from our
141 composite. Are atmospheric rivers simply washed out in the average? The record-breaking
142 1955 Christmas Eve storm which led to flooding in Yuba City, California, [Cole and
143 Scanlon, 1955] was concurrent with an offshore plume of tropically-sourced water vapor
144 with column-integrated moisture values exceeding 40 kg m^{-2} . In fact, December of 1995
145 remains ranked as the wettest month on record for this region (according to the California
146 Department of Water Resources northern Sierra Nevada eight-station index, available at
147 <http://www.cnrfc.noaa.gov/>) with 30.83 inches of rainfall. This case is dramatic, but
148 also leads one to wonder what fraction of daily composite members display distinctive
149 Pineapple Express characteristics.

150 We emphasize that composite members display a large degree of variability in circulation
151 patterns comprising the mean. Fig. 4 shows illustrative sample members of the La Niña
152 storm composite, for column-integrated moisture and 500 hPa geopotential height. Deep
153 troughs are prevalent (e.g. Fig. 4a) and often lead to cut-off lows (e.g. Fig. 4d), during
154 La Niña storms in the SW. These patterns are reflected in composite 500 hPa geopotential
155 height anomalies (Fig. 2d). In contrast, during heavy El Niño rainfall, troughs tend to dig
156 less deep, and we see more general southwesterly flow upstream of the SW (not shown).

157 Focusing on column-integrated moisture, individual days comprising the composite ex-
158 hibit a wide range of scenarios. The most common case is of moderate ($\sim 25 \text{ kg m}^{-2}$)
159 moisture emplacement on the leading trough edge (e.g. Fig. 4a). Only a fraction of heavy

160 La Niña rainfall events (no more than 20-25%) can be characterized as Pineapple Express-
161 like storms (e.g. Fig. 4c), whereas on other days the relationship between rainfall and
162 synoptic conditions is more nuanced. Strong rainfall events also occur in the case of an
163 offshore ridge (e.g. Fig. 4b) and westerly flow (e.g. Fig. 4e), and even during general lack
164 of widespread available moisture (e.g. Fig. 4f). In considering the relationship between
165 weather and climate, we note the large degree of variability exhibited in daily fields, and
166 that the composite is a residual of this variability.

167 Inspection of daily rainfall maps for rates exceeding 20 mm/day shows that the largest
168 La Niña storms tend to sweep across the entire western United States. This implicates
169 trailing cold fronts in explaining heavy La Niña rainfall south of 40°N. Since cold fronts
170 and associated rainfall tend to stretch along the trough axis, we expect concurrent (or
171 leading by a day) heavy rainfall in the NW (as for ~88% of our heavy SW events).
172 Moreover heavy SW rainfall is likely to be more heavy during La Niña due to increased
173 tropospheric temperature and moisture, relative to the NW and to El Niño, as stated
174 earlier. Sometimes La Niña storms do hit the SW coast only, with no associated heavy
175 NW rainfall. And occasionally we see isolated grid cells of heavy rainfall, consistent with
176 embedded frontal convective elements and perhaps concurrent with widespread drizzle.

4. Summary

177 The goal of this analysis was to explore synoptic conditions behind an unusual and
178 significant rainfall signal. We analyze atmospheric circulation patterns producing en-
179 hancement of heavy La Niña rainfall in the southwest United States (even though the
180 frequency of heavy rainfall is actually increased during El Niño). Composite analyses

181 show that heavy La Niña rainfall is consistent with a persistent and deep offshore trough
182 that taps into enhanced tropospheric moisture and advects it to the SW. We emphasize
183 the large amount of interstorm variability, with only a fraction of events fitting canonical
184 Pineapple Express conditions. This behavior highlights the importance of understanding
185 natural variability when making predictions of important atmospheric phenomena such as
186 extreme rainfall. Depending on the impact of interest, be it frequency and spatial extent
187 of heavy rainfall, or intensity of local rainfall, either El Niño or La Niña conditions may
188 have the strongest effect.

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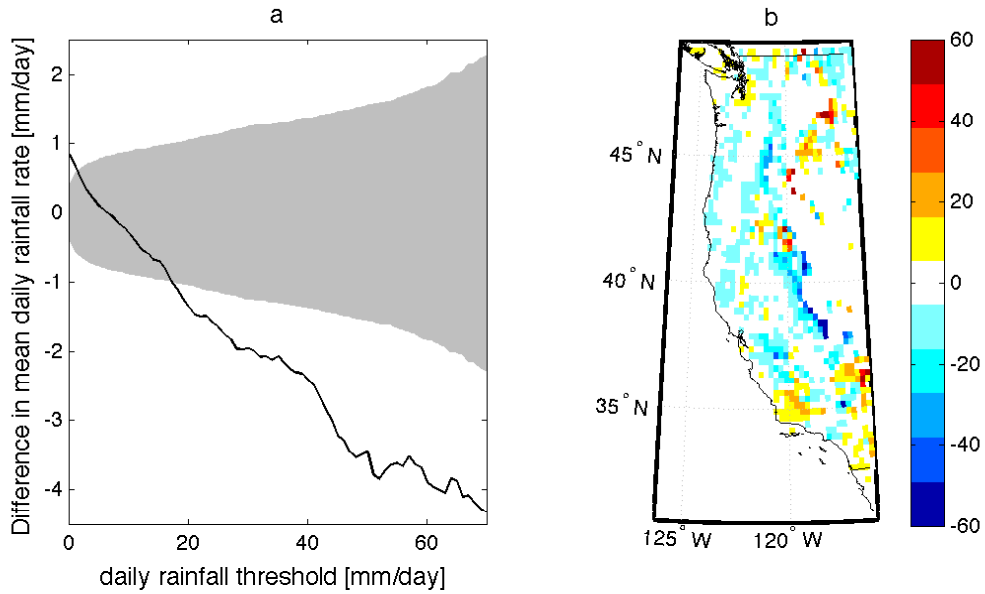


Figure 1. (a) Wintertime mean daily aggregate rainfall rate for El Niño minus La Niña as a function of threshold in the SW. Gray envelope indicates 95% confidence limits for the two-sample t test, after correcting for spatial and temporal correlation of rainfall data [Bretherton *et al.*, 1999; von Storch and Zwiers, 1999]. (b) Spatial patterns of percent differences in mean daily rainfall intensity (El Niño minus La Niña) for events ≥ 20 mm/day. Modified from FR10.

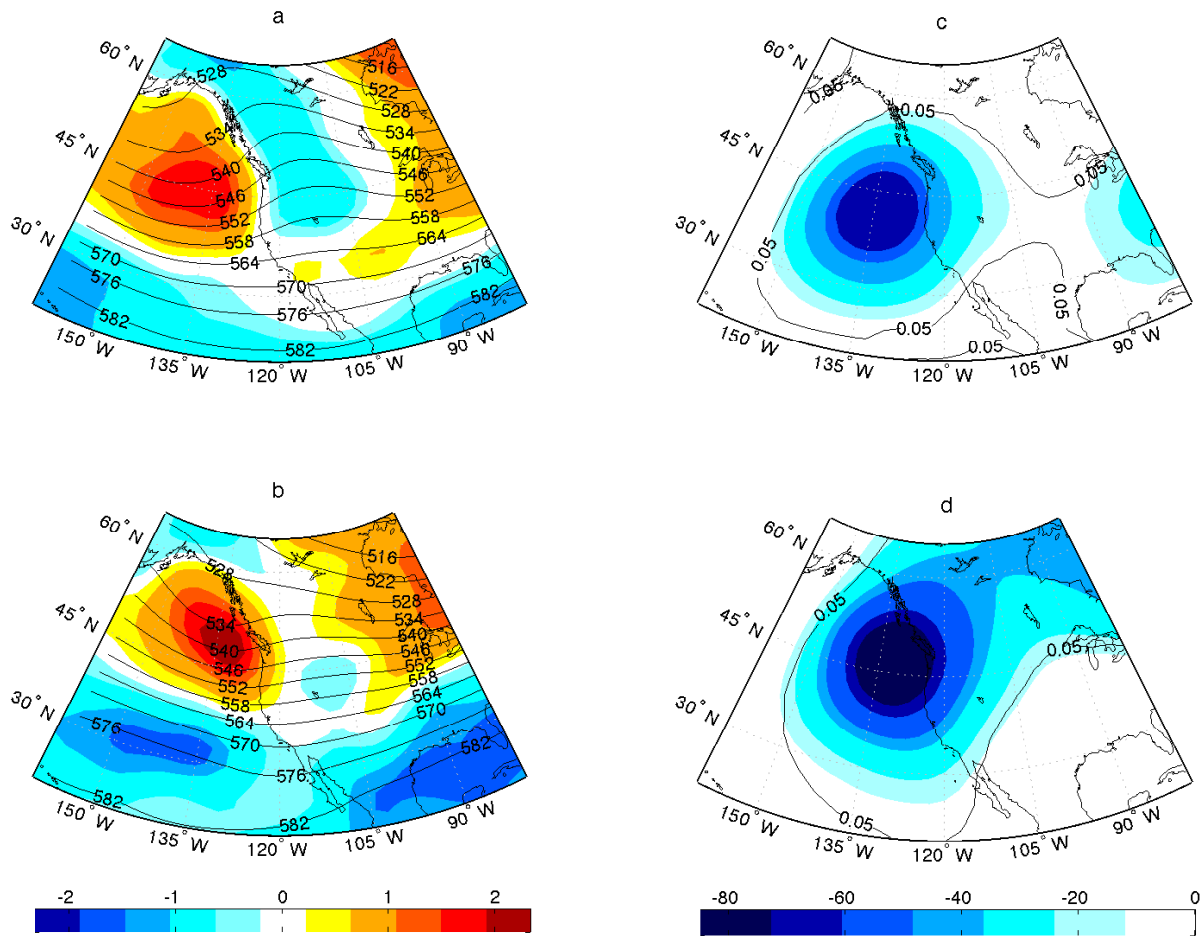


Figure 2. *Left* Composite 500 hPa relative vorticity [10^{-5} s^{-1}] (shaded) and mean geopotential height [dam] (contours) for heavy SW rainfall. The top panel shows El Niño, the bottom panel, La Niña. *Right* Composite 500 hPa geopotential height anomalies [m] from the 30-day running mean, also for heavy SW rainfall. 95% confidence limits according to the t test are indicated by the solid contour. Heavy rainfall events are defined as ≥ 20 mm/day.

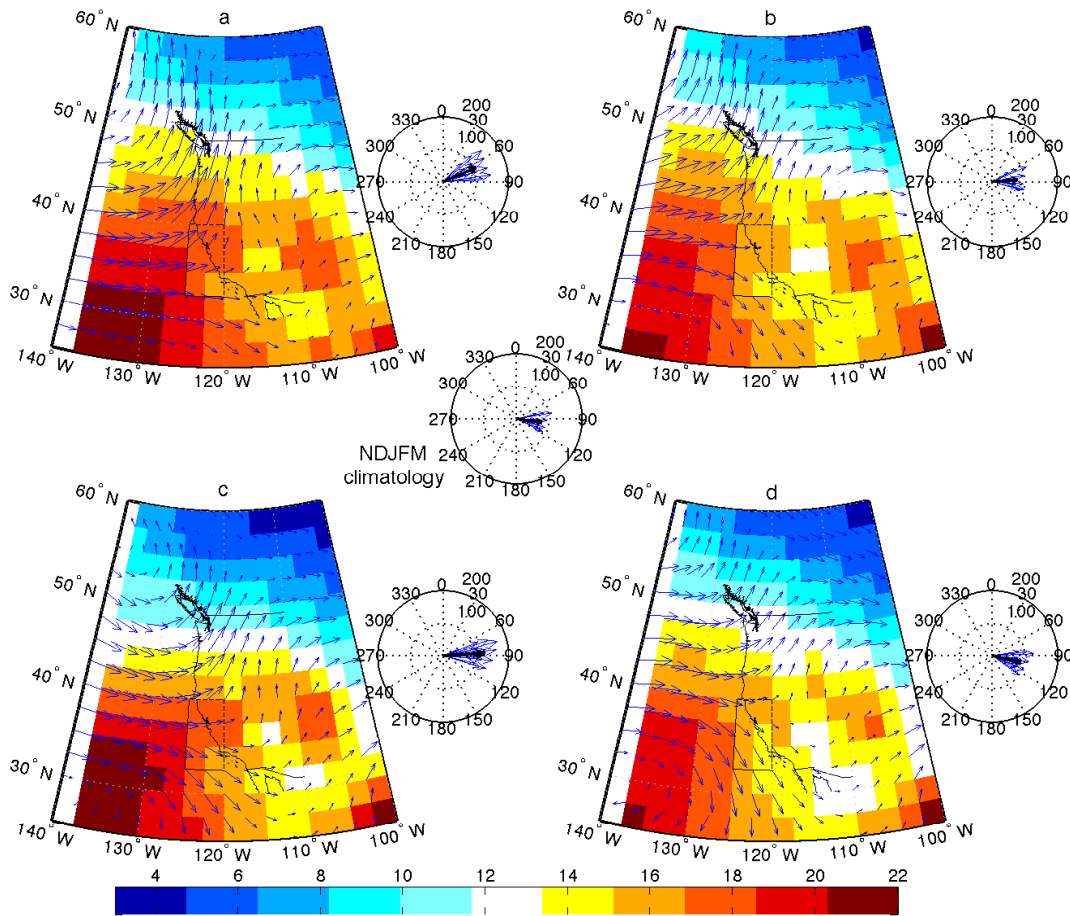


Figure 3. Composite column-integrated moisture [kg m^{-2}] (shaded) and 850 mb winds (vectors) for (*left*) heavy rainfall (≥ 20 mm/day) and (*right*) all precipitating days, in the SW. The top panel shows El Niño, the bottom panel, La Niña. Insets show mean column-integrated moisture flux [kg (ms)^{-1}] for boxed region, with the grand mean indicated by the black vector. The center compass plot indicates winter climatological values of column-integrated moisture flux for boxed region.

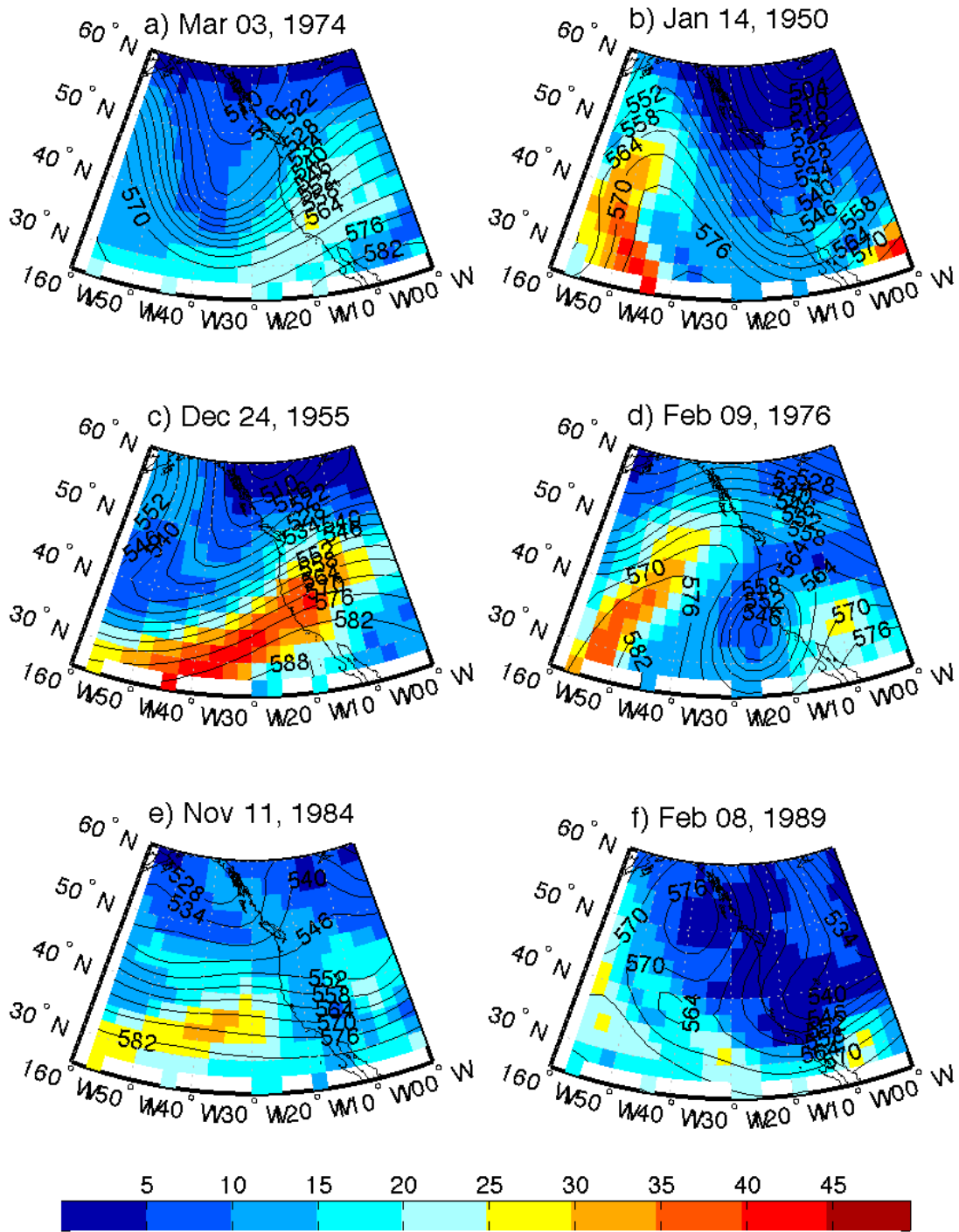


Figure 4. Composite members of column-integrated (1000-300 hPa) moisture [kg m^{-2}] (shaded) and 500 hPa geopotential heights [dam] (contours) for heavy (≥ 20 mm/day) La Niña rainfall in the SW. By visual inspection of daily fields, no more than 20-25% of these events display characteristic Pineapple Express features.